

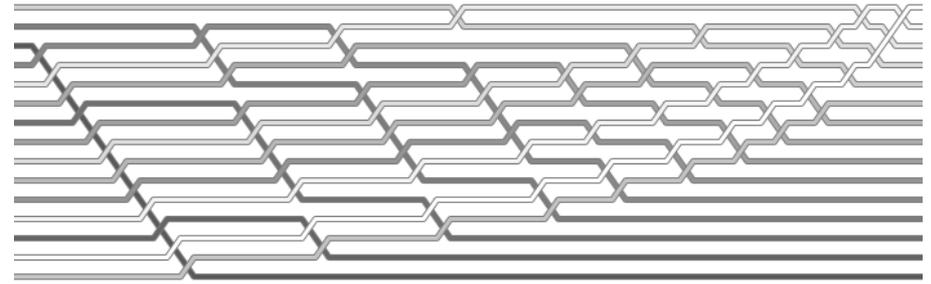
# Sorting

Almost half of all CPU cycles are spent on sorting!

- **Input:** array  $X[1..n]$  of integers
- **Output:** sorted array (permutation of input)

**In:** 5,2,9,1,7,3,4,8,6

**Out:** 1,2,3,4,5,6,7,8,9



- Assume WLOG all input numbers are unique
- Decision tree model  $\Rightarrow$  count comparisons “ $<$ ”



# Lower Bound for Sorting

Theorem: Sorting requires  $\Omega(n \log n)$  time

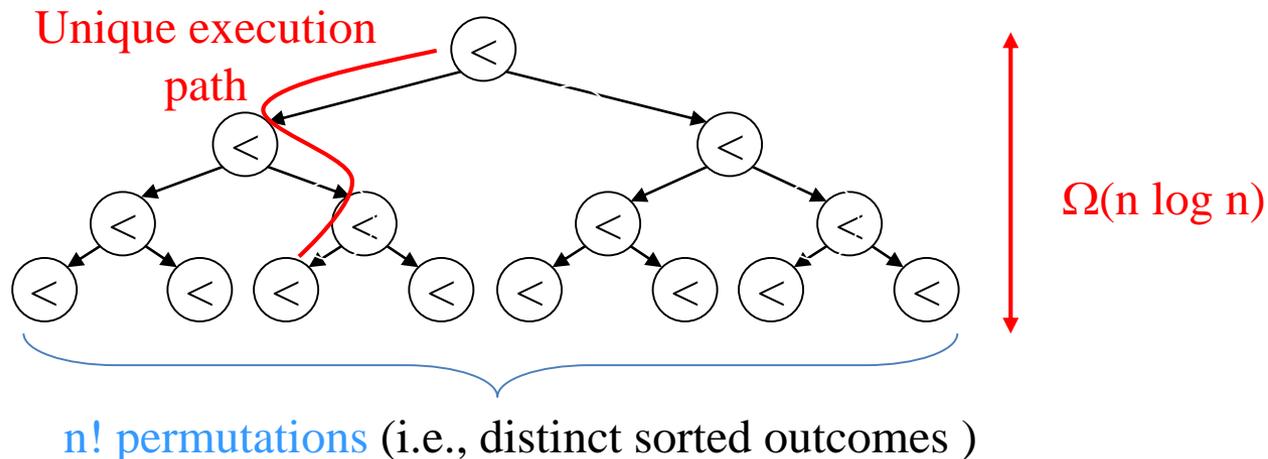
Proof: Assume WLOG unique numbers

$\Rightarrow$   $n!$  different permutations

$\Rightarrow$  comparison decision tree has  $n!$  leaves

$\Rightarrow$  tree height  $\geq \log(n!) > \log\left(\left(\frac{n}{e}\right)^n\right) = n \cdot \log\left(\frac{n}{e}\right) = \Omega(n \log n)$

$\Rightarrow \Omega(n \log n)$  decisions / time necessary to sort



# Sorting Algorithms (Sorted!)

1. AKS sort
2. Bead sort
3. Binary tree sort
4. Bitonic sorter
5. Block sort
6. Bogosort
7. Bozo sort
8. Bubble sort
9. Bucket sort
10. Burstsor
11. Cocktail sort
12. Comb sort
13. Counting sort
14. Cubesort
15. Cycle sort
16. Flashsort
17. Franceschini's sort
18. Gnome sort
19. Heapsort
20. In-place merge sort
21. Insertion sort
22. Introspective sort
23. Library sort
24. Merge sort
25. Odd-even sort
26. Patience sorting
27. Pigeonhole sort
28. Postman sort
29. Quantum sort
30. Quicksort
31. Radix Sort
32. Sample sort
33. Selection sort
34. Shaker sort
35. Shell sort
36. Simple pancake sort
37. Sleep sort
38. Smoothsort
39. Sorting network
40. Spaghetti sort
41. Splay sort
42. Spreadsort
43. Stooge sort
44. Strand sort
45. Timsort
46. Tree sort
47. Tournament sort
48. UnShuffle Sort

# Sorting Algorithms

Q: Why so many sorting algorithms?

A: There is no “**best**” sorting algorithm!

Some considerations:

- **Worst** case?
- **Average** case?
- In practice?
- Input **distribution**?
- Near-sorted data?
- **Stability**?
- **In-situ**?
- Randomized?
- Stack depth?
- Internal vs. **external**?
- Pipeline compatible?
- **Parallelizable**?
- Locality?
- **Online**



**Problem:** Given  $n$  pairs of integers  $(x_i, y_i)$ , where  $0 \leq x_i \leq n$  and  $1 \leq y_i \leq n$  for  $1 \leq i \leq n$ , find an algorithm that sorts all  $n$  ratios  $x_i / y_i$  in linear time  $O(n)$ .

- What approaches fail?
- What techniques work and why?
- Lessons and generalizations

**Problem:** Given  $n$  integers, find in  $O(n)$  time the majority element (i.e., occurring  $\geq n/2$  times, if any).

- What approaches fail?
- What techniques work and why?
- Lessons and generalizations

**Problem:** Given  $n$  objects, find in  $O(n)$  time the **majority** element (i.e., occurring  $\geq n/2$  times, if any), using only equality comparisons ( $=$ ).

- What approaches fail?
- What techniques work and why?
- Lessons and generalizations

**Problem:** Given  $n$  integers, find both the **maximum** and the **next-to-maximum** using the least number of comparisons (**exact** comparison count, not just  $O(n)$ ).

- What approaches fail?
- What techniques work and why?
- Lessons and generalizations

# Bubble Sort

**Input:** array  $X[1..n]$  of integers

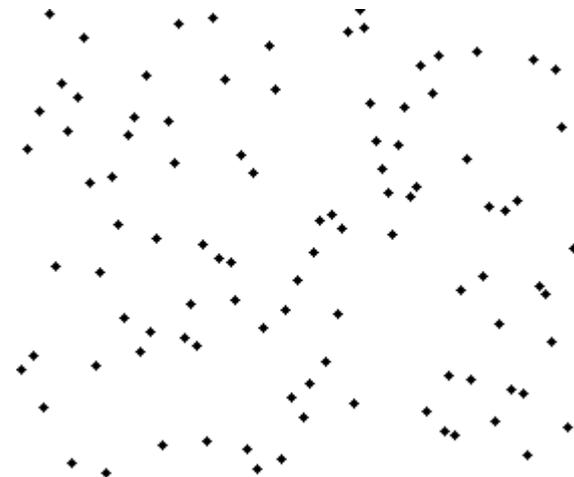
**Output:** sorted array (monotonic permutation)

**Idea:** keep swapping adjacent pairs

```
until array X is sorted do
  for i=1 to n-1
    if  $X[i+1] < X[i]$ 
      then swap(X,i,i+1)
```



6 5 3 1 8 7 2 4



- $O(n^2)$  time **worst**-case, but sometimes faster
- **Adaptive**, **stable**, in-situ, **slow**

# Odd-Even Sort



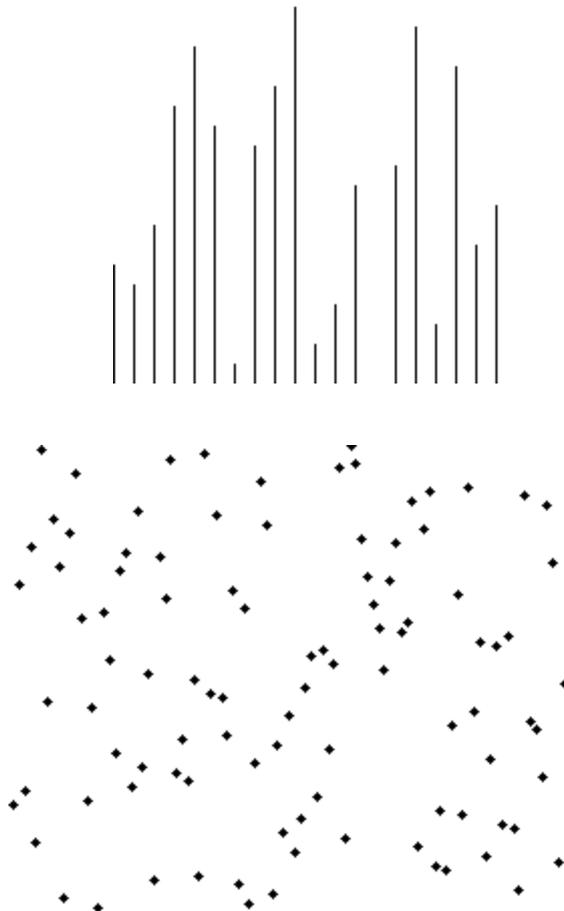
**Input:** array  $X[1..n]$  of integers

**Output:** sorted array (monotonic)

**Idea:** swap even and odd pairs

```
until array  $X$  is sorted do
  for even  $i=1$  to  $n-1$ 
    if  $X[i+1] < X[i]$  swap( $X, i, i+1$ )
  for odd  $i=1$  to  $n-1$ 
    if  $X[i+1] < X[i]$  swap( $X, i, i+1$ )
```

- $O(n^2)$  time worst-case,  
but faster on near-sorted data
- **Adaptive**, **stable**, in-situ, **parallel**



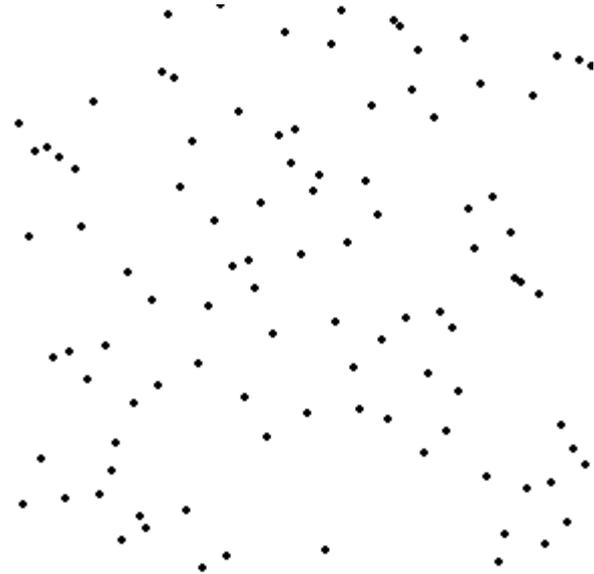
# Selection Sort

**Input:** array  $X[1..n]$  of integers

**Output:** sorted array (monotonic permutation)

**Idea:** move the largest to current pos

```
for i=1 to n-1
  let X[j] be largest
  among X[i..n]
  swap(X,i,j)
```



8
5
2
6
9
3
1
4
0
7

- $\Theta(n^2)$  time worst-case
- **Stable**, **in-situ**, simple, **not** adaptive
- Relatively fast (among quadratic sorts)

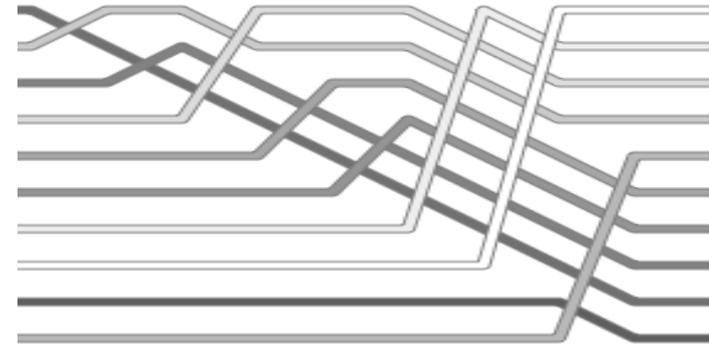
# Insertion Sort

6 5 3 1 8 7 2 4

- **Input**: array  $X[1..n]$  of integers
- **Output**: sorted array (monotonic permutation)

**Idea**: insert each item into list

```
for i=2 to n
  insert X[i] into the
  sorted list X[1..(i-1)]
```



- $O(n^2)$  time worst-case
- $O(nk)$  where  $k$  is max dist of any item from final sorted pos
- **Adaptive**, **stable**, **in-situ**, online

# Heap Sort

**Input:** array  $X[1..n]$  of integers

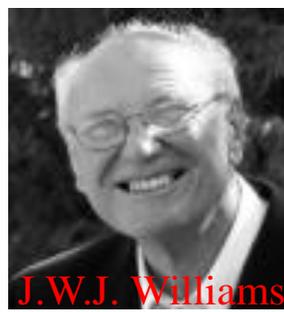
**Output:** sorted array (monotonic)

**Idea:** exploit a heap to sort

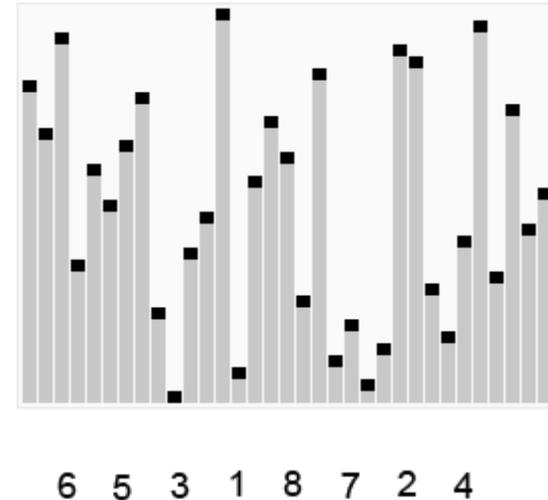
```
InitializeHeap
For i=1 to n HeapInsert(X[i])
For i=1 to n do
    M=HeapMax; Print(M)
    HeapDelete(M)
```



Robert Floyd

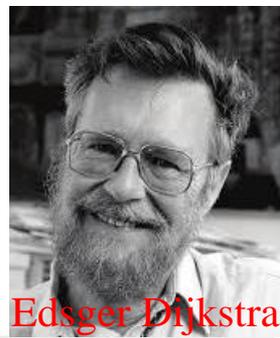


J.W.J. Williams



- $\Theta(n \log n)$  optimal time
- **Not** stable, **not** adaptive, in-situ

# SmoothSort

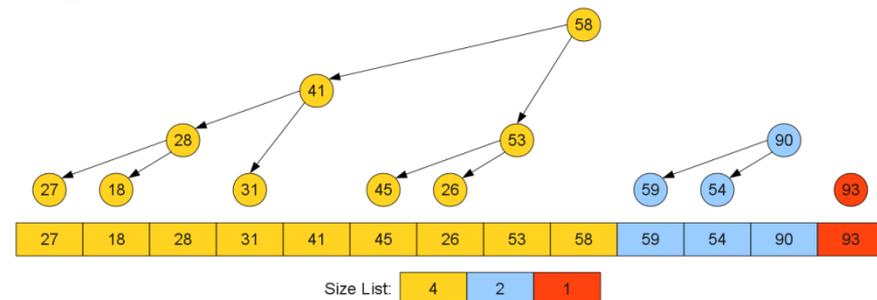
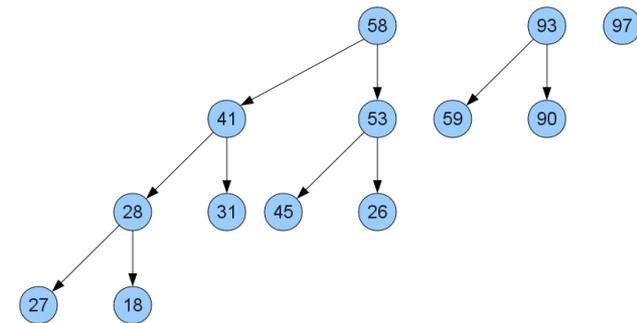
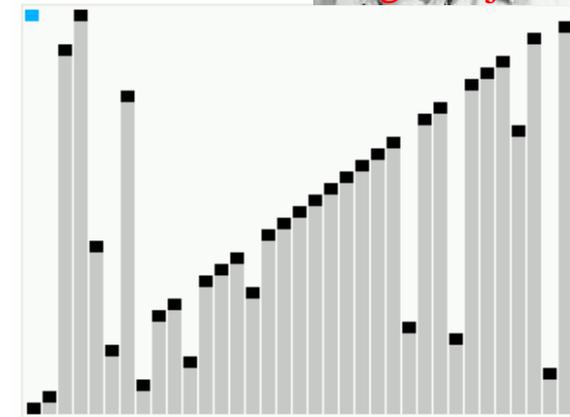


**Input:** array  $X[1..n]$  of integers

**Output:** sorted array (monotone)

**Idea:** adaptive heapsort

```
InitializeHeaps
for i=1 to n HeapsInsert(X[i])
for i=1 to n do
    M=HeapsMax; Print(M)
    HeapsDelete(M)
```

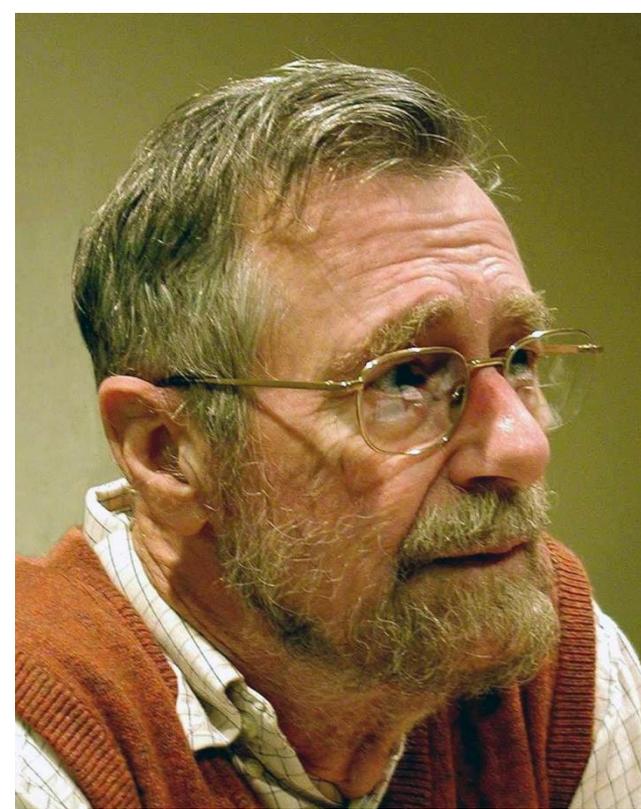


- Uses multiple (Leonardo) heaps
- $O(n \log n)$
- $O(n)$  if list is mostly sorted
- **Not** stable, **adaptive**, in-situ

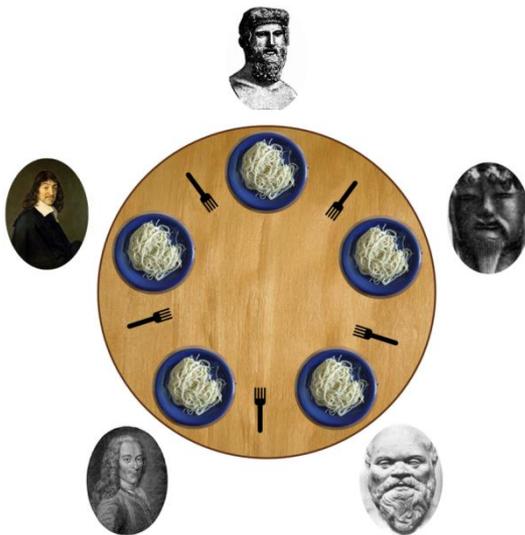
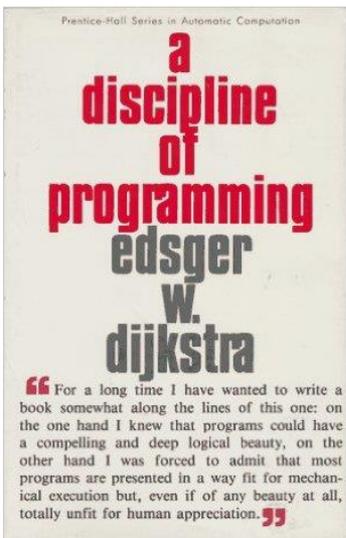
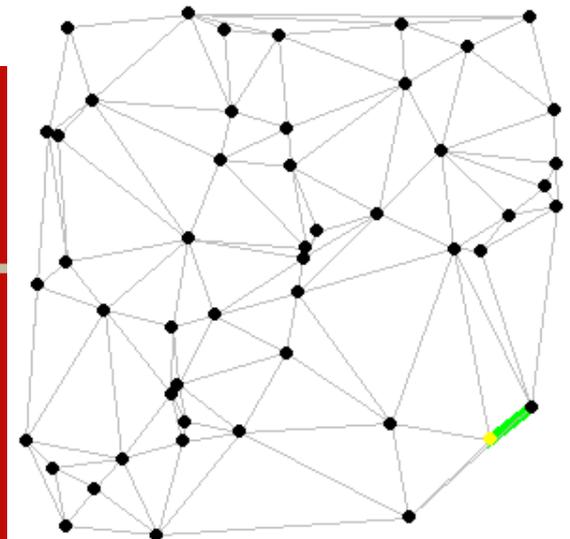
# Historical Perspectives

## Edsger W. Dijkstra (1930-2002)

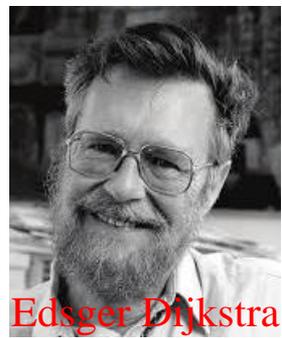
- Pioneered **software engineering**, OS design
- Invented **concurrent programming**, **mutual exclusion** / semaphores
- Invented **shortest paths** algorithm
- Advocated **structured** (GOTO-less) code
- Stressed **elegance** & **simplicity** in design
- Won Turing Award in 1972



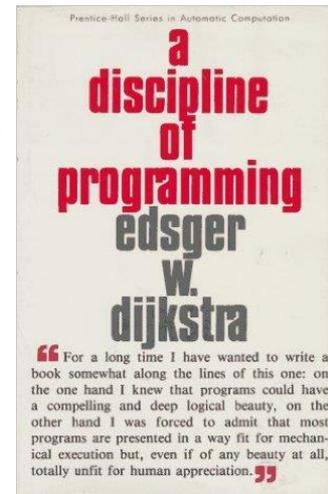
Dijkstra's algorithm



# Quotes by Edsger W. Dijkstra (1930-2002)



- “**Computer science** is no more about computers than astronomy is about telescopes.”
- “If **debugging** is the process of removing software bugs, then **programming** must be the process of putting them in.”
- “**Testing** shows the presence, not the absence of bugs.”
- “**Simplicity** is prerequisite for reliability.”
- “The use of **COBOL** cripples the mind; its teaching should, therefore, be regarded as a criminal offense.”
- “**Object-oriented programming** is an exceptionally bad idea which could only have originated in California.”
- “**Elegance** has the disadvantage, if that's what it is, that hard work is needed to achieve it and a good education to appreciate it.”

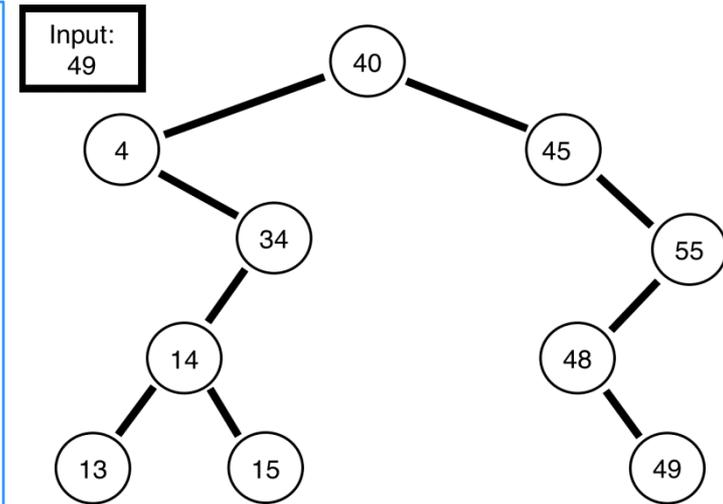


# Generalizing Heap Sort

**Input:** array  $X[1..n]$  of integers

**Output:** sorted array

```
InitializeTree
For i=1 to n
    TreeInsert(X[i])
For i=1 to n do
    M=TreeMax; Print(M)
    TreeDelete(M)
```



- **Observation:** other data structures can work here!
- Ex: replace heap with any **height-balanced tree**
- Retains  $O(n \log n)$  worst-case time!

# Tree Sort

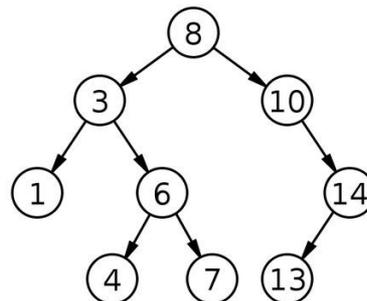
**Input:** array  $X[1..n]$  of integers

**Output:** sorted array (monotonic)

**Idea:** populate a tree & traverse

```
InitializeTree
for i=1 to n TreeInsert(X[i])
traverse tree in-order
  to produce sorted list
```

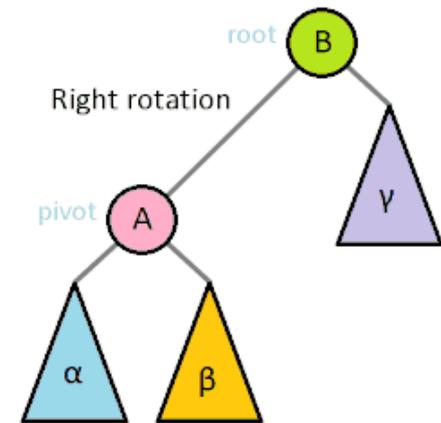
- Use balanced tree (AVL, B, 2-3, splay)
- $O(n \log n)$  time worst-case
- Faster for near-sorted inputs
- **Stable, adaptive**, simple



# B-Tree Sort

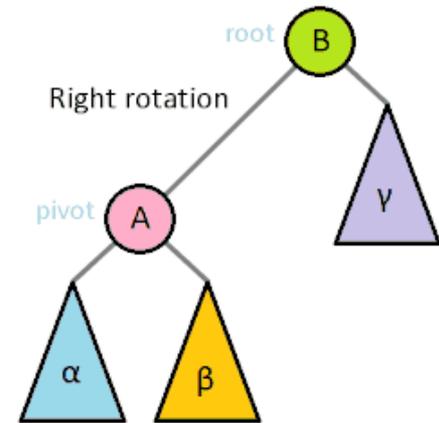


- Multi-rotations occur infrequently
- Rotations don't propagate far
- Larger tree  $\Rightarrow$  fewer rotations
- Same for other height-balanced trees
- Non-balanced search trees **average  $O(\log n)$  height**



# AVL-Tree Sort

- Multi-rotations occur infrequently
- Rotations don't propagate far
- Larger tree  $\Rightarrow$  fewer rotations
- Same for other height-balanced trees
- Non-balanced trees **average  $O(\log n)$  height**



# Merge Sort



**Input:** array  $X[1..n]$  of integers

**Output:** sorted array (monotonic)

**Idea:** sort sublists & merge them

**MergeSort**( $X, i, j$ )

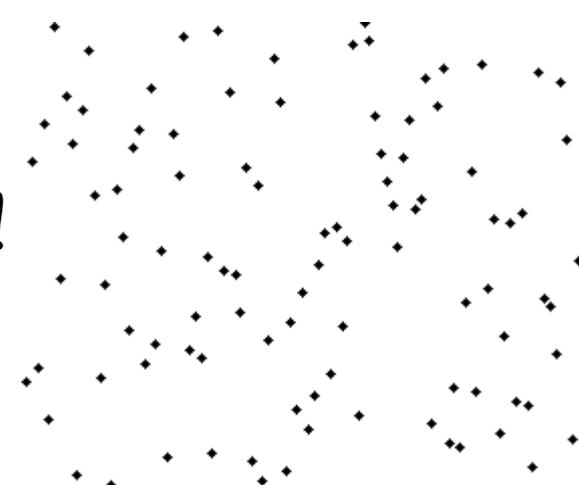
if  $i < j$  then  $m = \lfloor (i+j)/2 \rfloor$

**MergeSort**( $X, i..m$ )

**MergeSort**( $X, m+1..j$ )

**Merge**( $X, i..m, m+1..j$ )

6 5 3 1 8 7 2 4



- $T(n) = 2T(n/2) + n = \Theta(n \log n)$  **optimal!**
- **Stable**, **parallelizes**, **not** in-situ
- Can be made **in-situ** & stable

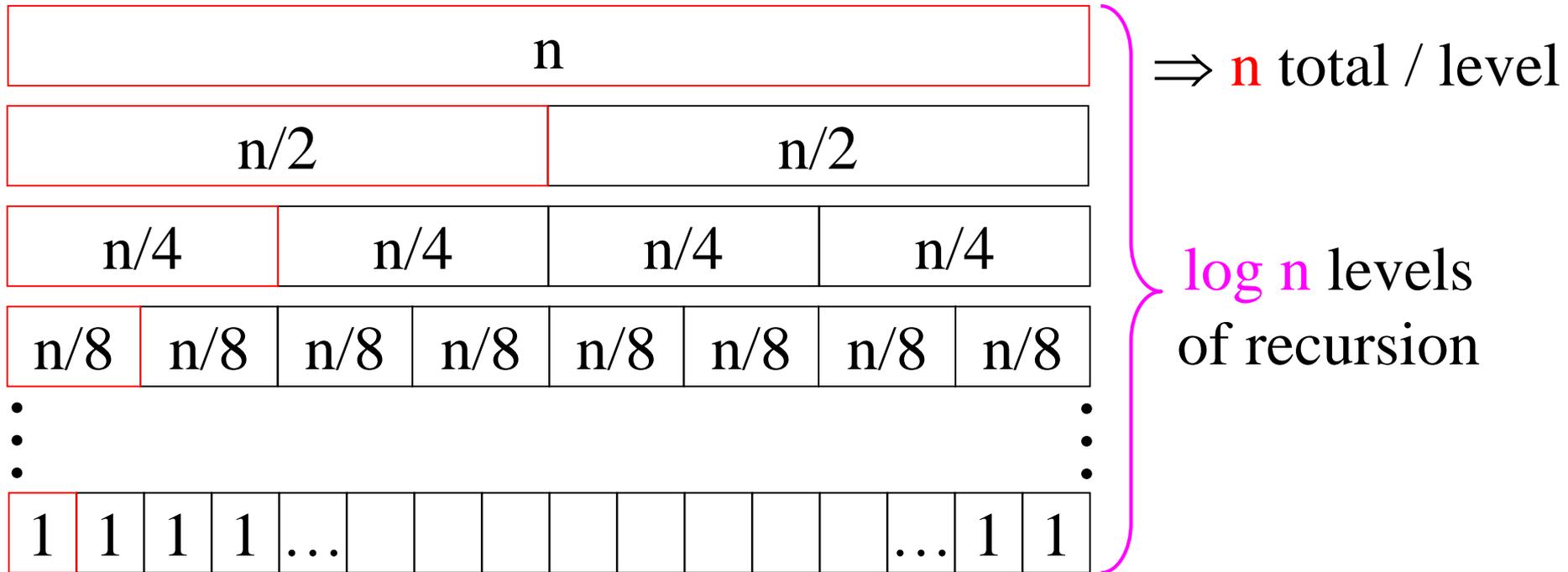
# Merge Sort



**Theorem:** MergeSort runs within time  $\Theta(n \log n)$  which is **optimal**.

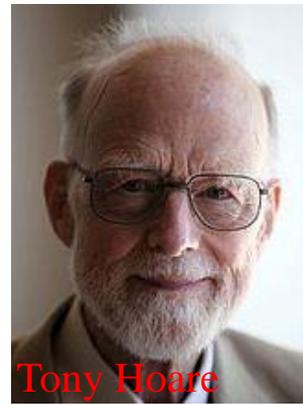
**Proof:** Even-split divide & conquer:

$$T(n) = 2 \cdot T(n/2) + n$$



Total time is  $O(n \log n)$ ;  $\Omega(n \log n) \Rightarrow \Theta(n \log n)$

# Quicksort



**Input:** array  $X[1..n]$  of integers

**Output:** sorted array (monotonic)

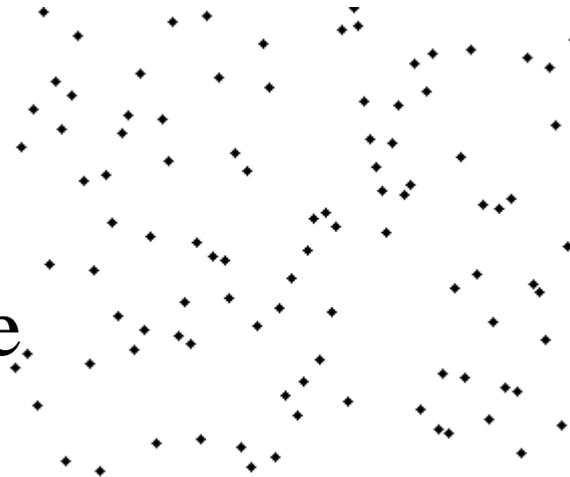
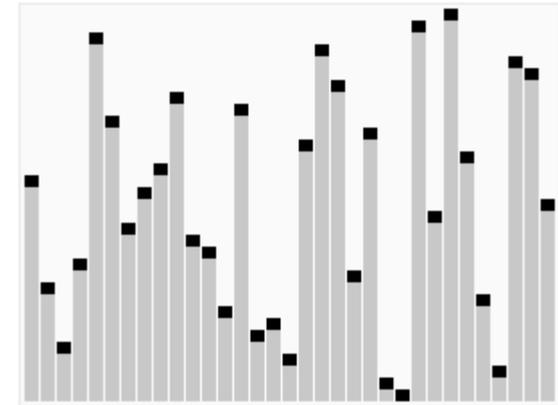
**Idea:** sort two sublists around pivot

**QuickSort**( $X, i, j$ )

If  $i < j$  Then  $p = \text{Partition}(X, i, j)$

**QuickSort**( $X, i, p$ )

**QuickSort**( $X, p+1, j$ )



- $\Theta(n \log n)$  time average-case
- $\Theta(n^2)$  worst-case time (rare)
- **Unstable**, **parallelizes**,  $O(\log n)$  space
- Ave: only beats  $\Theta(n^2)$  sorts for  $n > 40$

# Shell Sort



Donald Shell

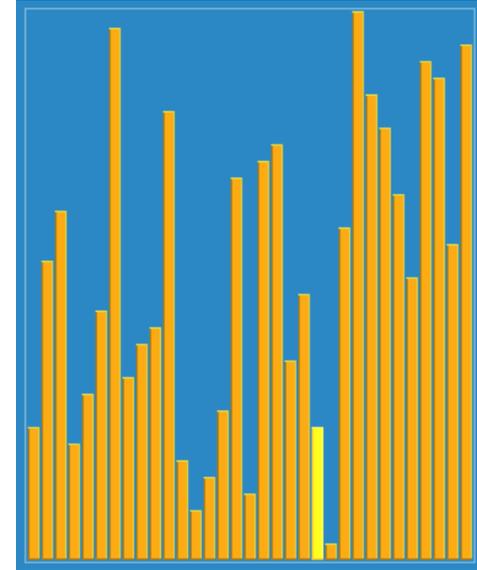
**Input:** array  $X[1..n]$  of integers

**Output:** sorted array (monotonic)

**Idea:** generalize insertion sort

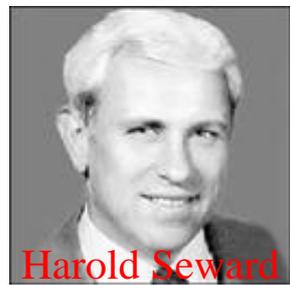
for each  $h_i$  in sequence  $h_k, \dots, h_1=1$   
Insertion-sort all items  $h_i$  apart

- Array is sorted after last pass ( $h_i=1$ )
- Long swaps quickly reduce disorder
- $O(n^2)$ ,  $O(n^{3/2})$ ,  $O(n^{4/3})$ , ... ?
- Complexity still **open problem!**
- LB is  $\Omega(N(\log/\log \log n)^2)$
- **Not** stable, **adaptive**, **in-situ**





# Counting Sort



Harold Seward

Q: Why not use counting sort for arbitrary 32-bit integers? (i.e., range  $k$  is “fixed”)

A: Range is fixed ( $2^{32}$ ) but very large (4,294,967,296).  
Space/time: the counts array will be huge (4 GB)

Much worse for 64-bit integers ( $2^{64} > 10^{19}$ ):

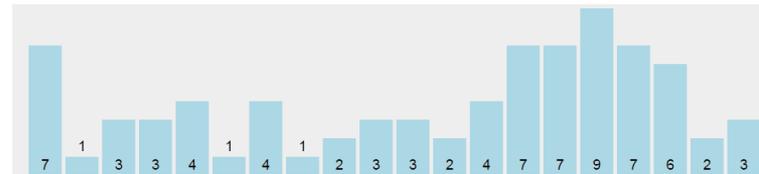
**Time:** 5 GHz PC will take over  $2^{64} / (5 \cdot 10^9) / (60 \cdot 60 \cdot 24 \cdot 365)$  sec **> 116 years** to initialize array!

**Memory:**  $2^{64} > 10^{19} > 18$  Exabytes

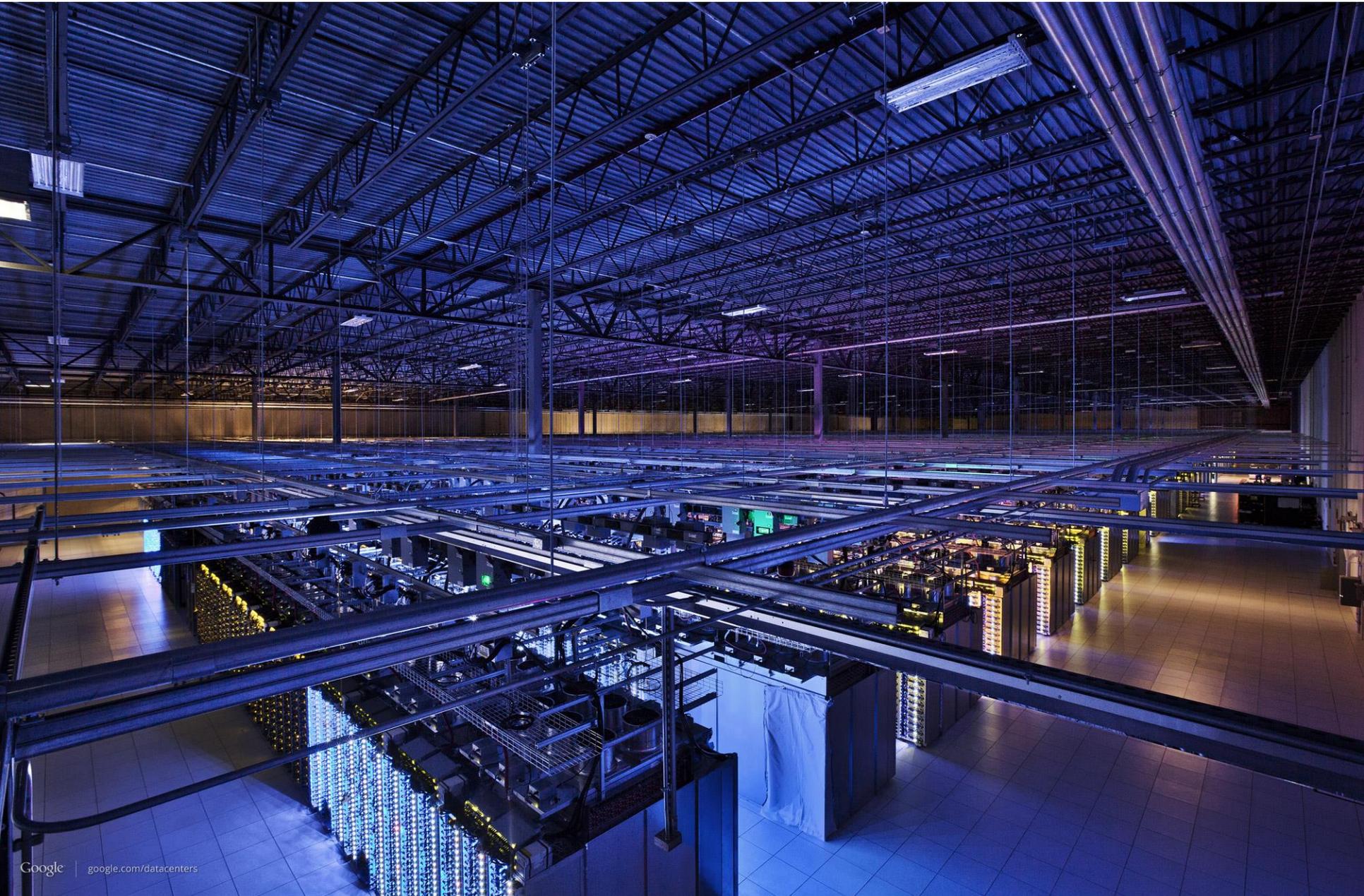
> 2.3 million TB RAM chips!

> total amount of Google's data!

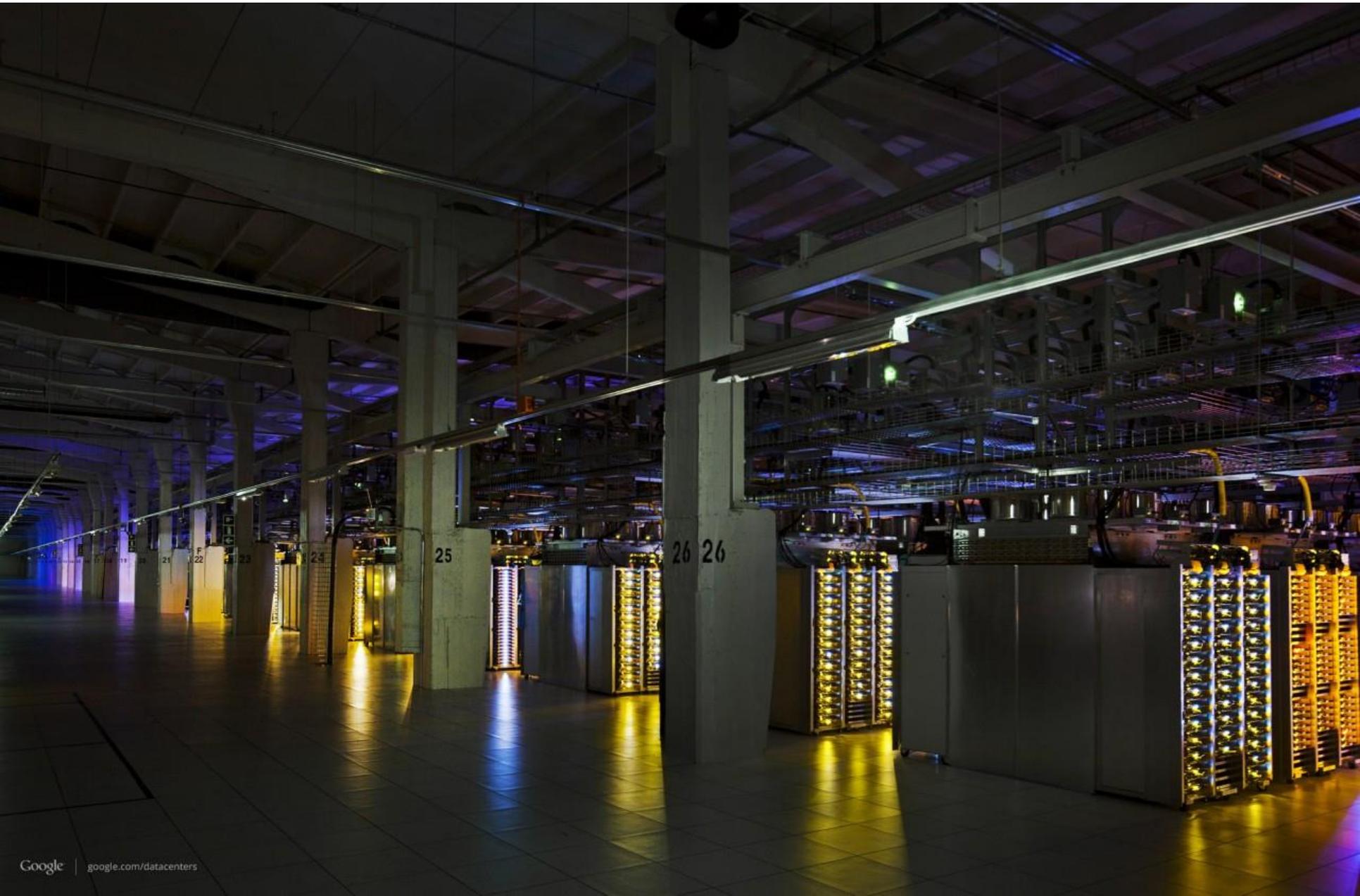
Q: What's an Exabyte? ( $10^{18}$ )



# What does an Exabyte look like?



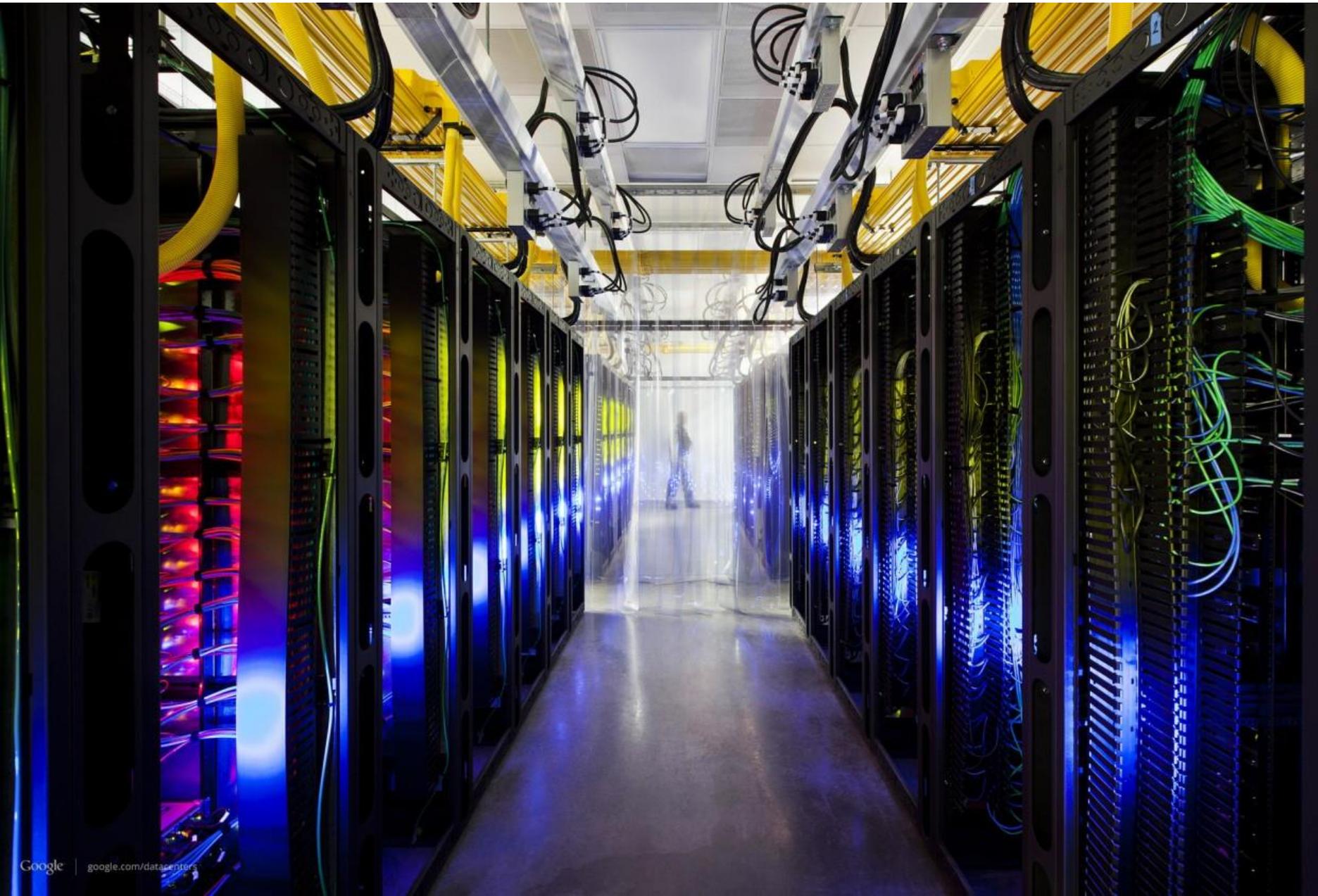
# What does an Exabyte look like?



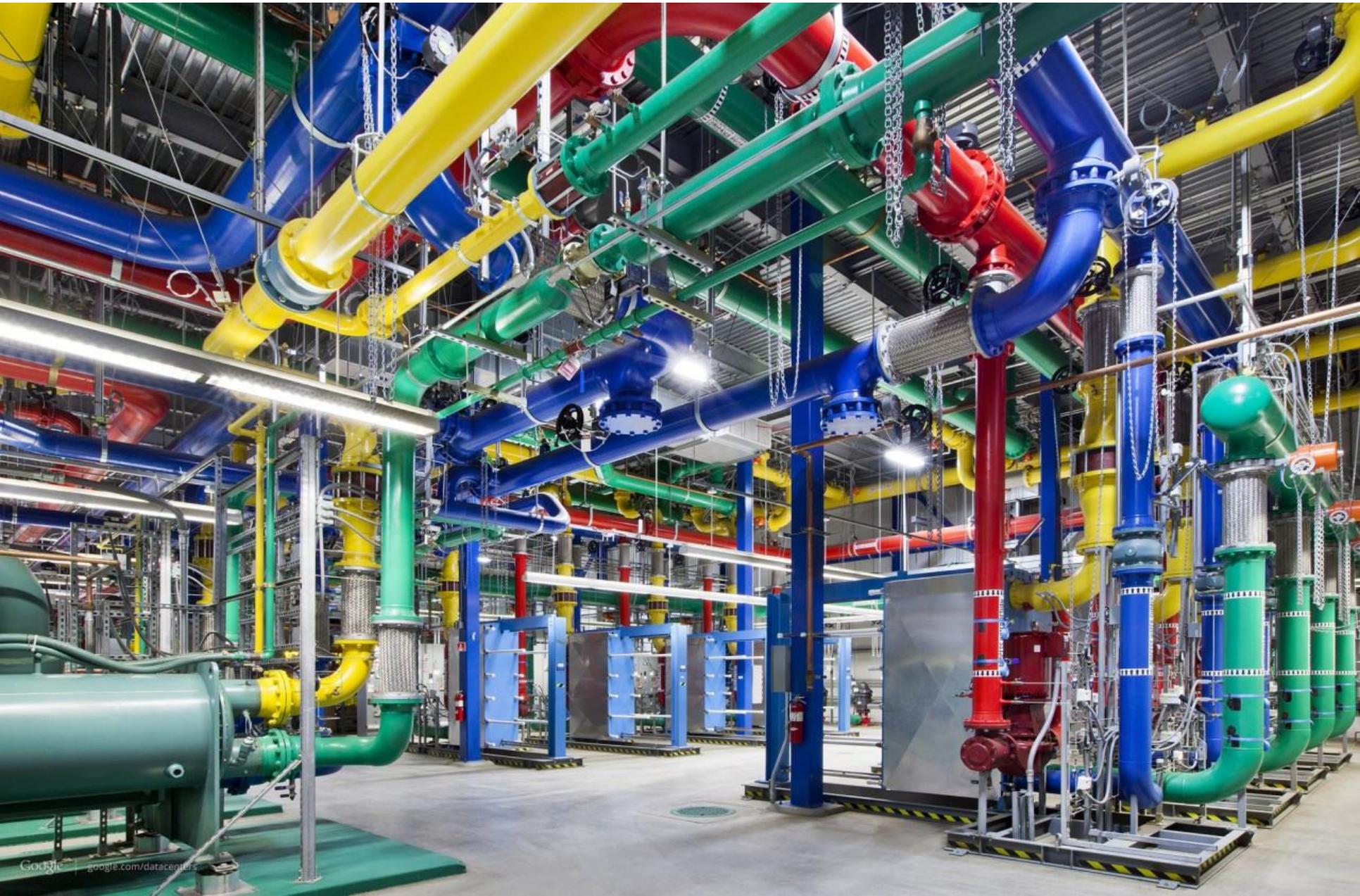
# What does an Exabyte look like?



# What does an Exabyte look like?



# What does an Exabyte look like?

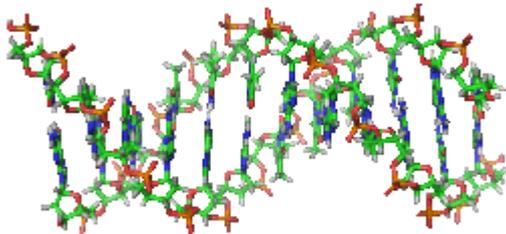


# What does an Exabyte look like?



# What does an Exabyte look like?

- All content of **Library of Congress**: ~ 0.001 Exabytes
- Total words **ever spoken** by humans: ~ 5 Exabytes
- Total data stored by **Google**: ~ 15 Exabytes
- Total monthly world **internet traffic**: ~ 110 Exabytes
- Storage capacity of **1 gram of DNA**: ~ 455 Exabytes



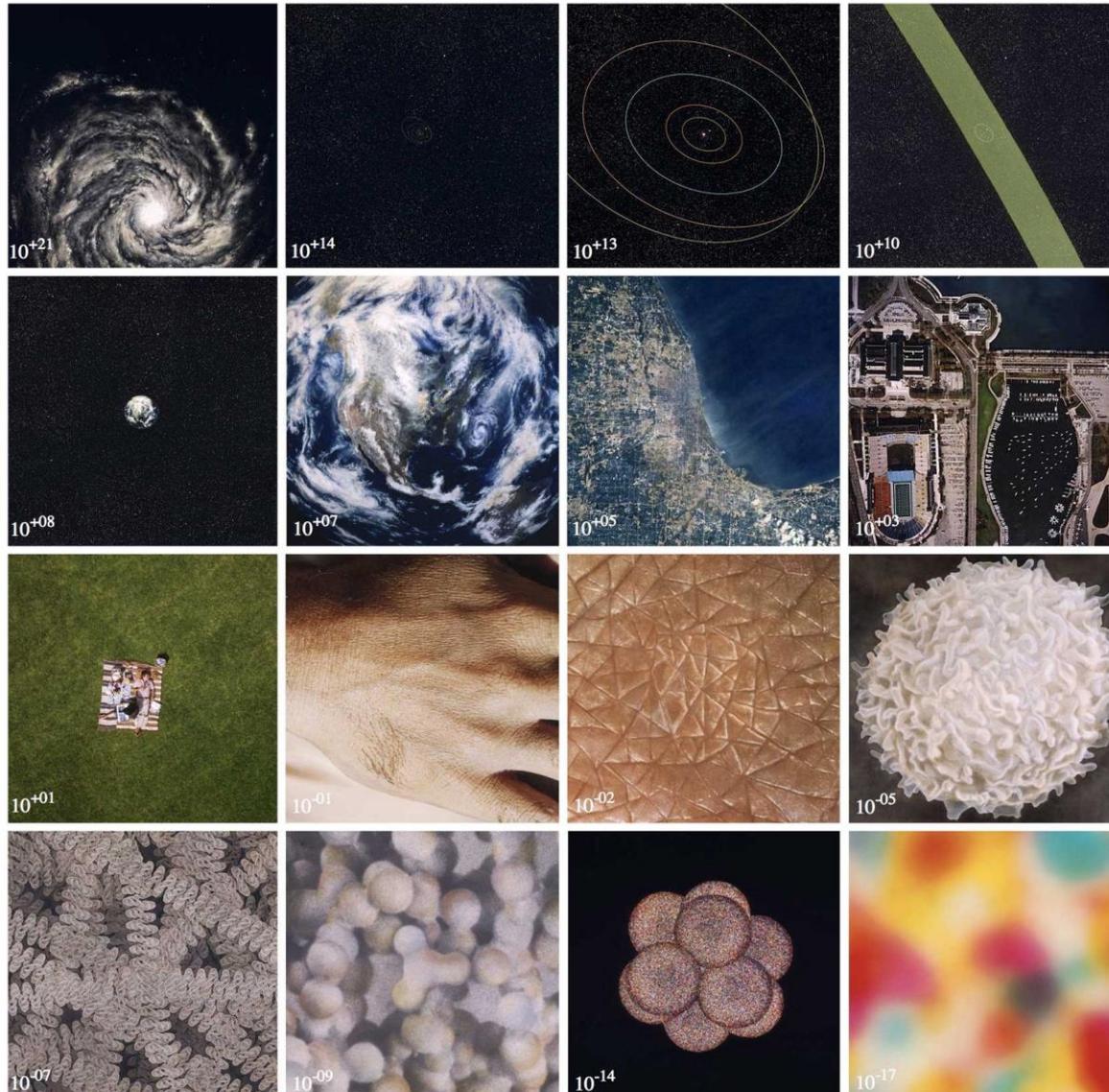
# Orders-of-Magnitude

Standard International (SI) quantities:

Deca	$10^1$	Deci	$10^{-1}$
Hecto	$10^2$	Centi	$10^{-2}$
Kilo	$10^3$	Milli	$10^{-3}$
Mega	$10^6$	Micro	$10^{-6}$
Giga	$10^9$	Nano	$10^{-9}$
Tera	$10^{12}$	Pico	$10^{-12}$
Peta	$10^{15}$	Femto	$10^{-15}$
Exa	$10^{18}$	Atto	$10^{-18}$
Zetta	$10^{21}$	Zepto	$10^{-21}$
Yotta	$10^{24}$	Yocto	$10^{-24}$

# Orders-of-Magnitude

- [“Powers of Ten”](#), Charles and Ray Eames, 1977





# Bucket Sort

**Input:** array  $X[1..n]$  of real numbers in  $[0,1]$

**Output:** sorted array (monotonic)

**Idea:** spread data among buckets

```
for i=1 to n do
  insert  $X[i]$  into bucket  $\lfloor n \cdot X[i] \rfloor$ 
for i=1 to n do Sort bucket i
concatenate all the buckets
```

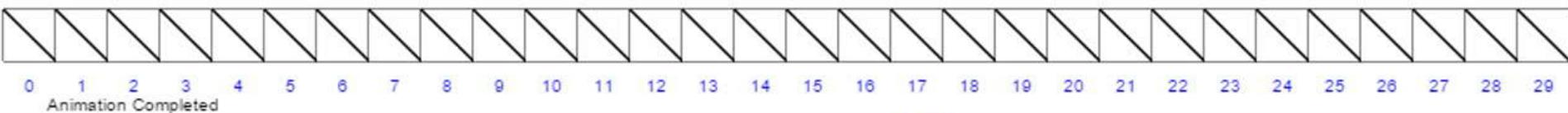
- $O(n+k)$  time **expected**,  $O(n)$  space
- $O(\text{Sort})$  time worst-case
- **Assumes** substantial data uniformity
- **Stable**, **parallel**, **not** in-situ
- Generalizes counting sort / quicksort



# Bucket Sort

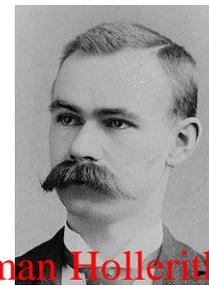


948	847	123	837	176	588	467	689	763	337	347	130	529	878	868	92	882	305	906	749	871	5	552	596	86	216	561	994	388	219
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29

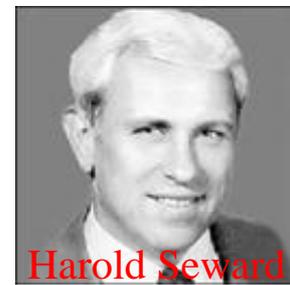


Q: How does bucket sort generalize counting sort? Quicksort?

# Radix Sort



Herman Hollerith



Harold Seward

**Input:** array  $X[1..n]$  of integers  
each with  $d$  digits in **range**  $1..k$

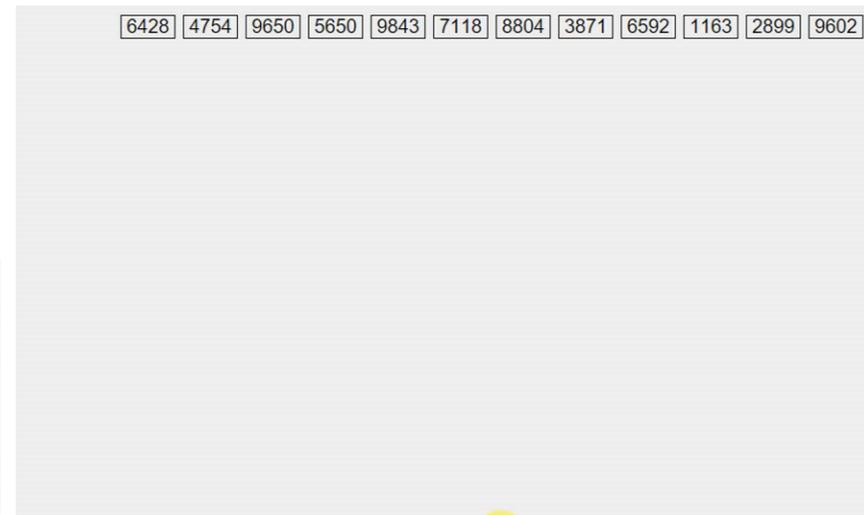
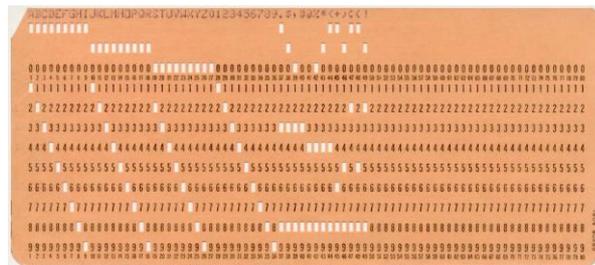
**Output:** sorted array (monotonic)

**Idea:** sort each digit in turn

For  $i=1$  to  $d$  do  
StableSort( $X$  on digit  $i$ )



- Makes  $d$  calls to **bucket** sort
- $\Theta(d \cdot n)$  time,  $\Theta(k+n)$  space
- Not comparison-based
- **Stable**
- **Parallel**
- **Not in-situ**



# Radix Sort

6428

4754

9650

5650

9843

7118

8804

3871

6592

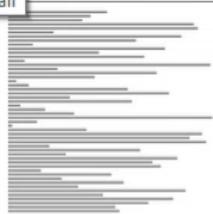
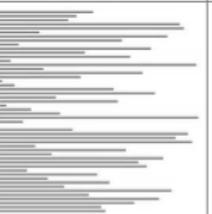
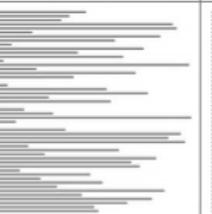
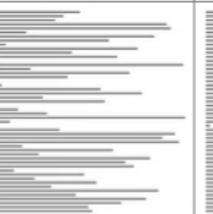
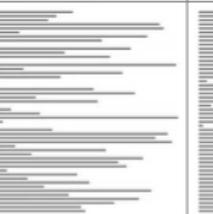
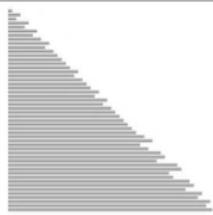
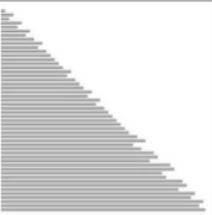
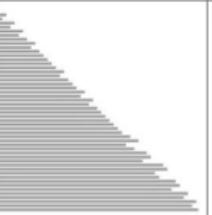
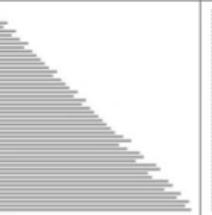
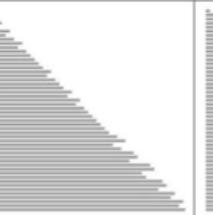
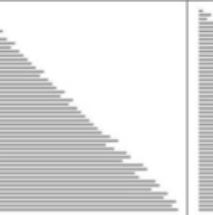
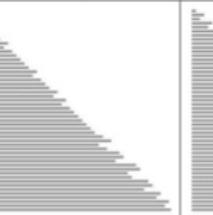
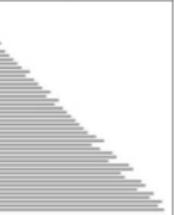
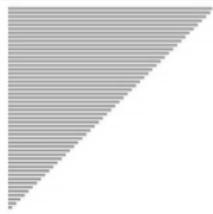
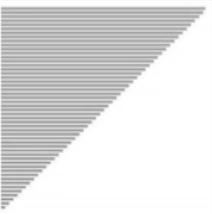
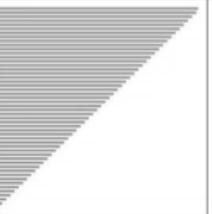
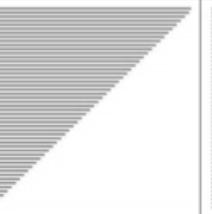
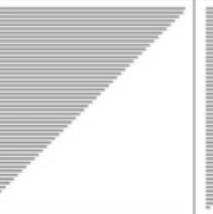
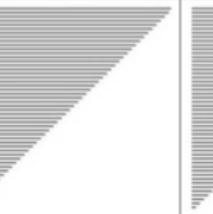
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9602

Q: is Radix Sort faster than Merge Sort?  $\Theta(d \cdot n)$  vs.  $\Theta(n \log n)$

# Sorting Comparison

	 Restart all	 Insertion	 Selection	 Bubble	 Shell	 Merge	 Heap	 Quick	 Quick3
 Random									
 Nearly Sorted									
 Reversed									
 Few Unique									

- $O(n \log n)$  sorts tend to beat the  $O(n^2)$  sorts ( $n > 50$ )
- Some sorts work faster on **random** data vs. near-sorted data
- For more details see <http://www.sorting-algorithms.com>

# Meta Sort

Q: how can we easily modify quicksort to have  $O(n \log n)$  worst-case time?

Idea: **combine** two algorithms to **leverage** the **best** behaviors of **each** one.

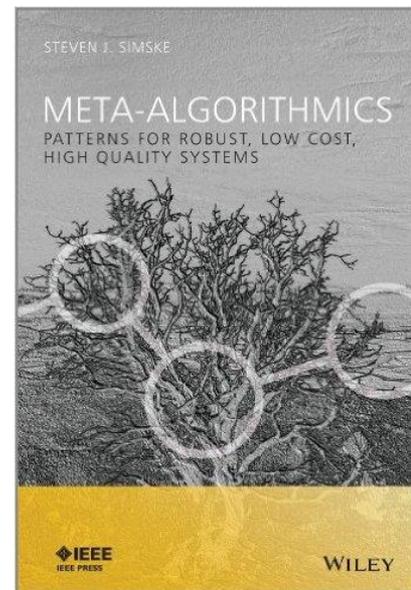
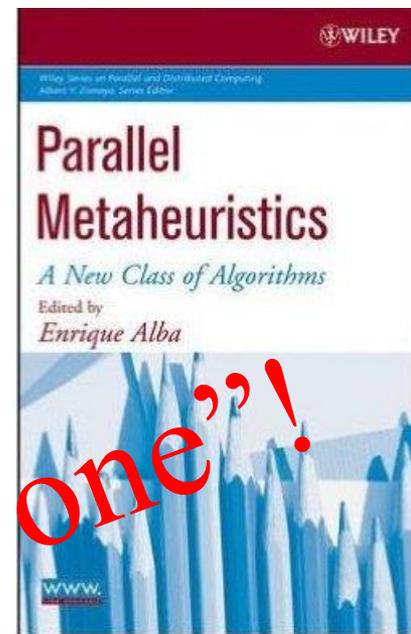
MetaSort( $X, i, j$ ):

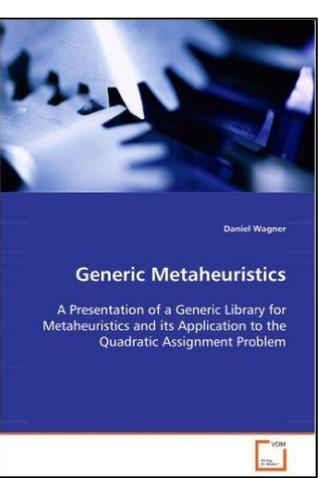
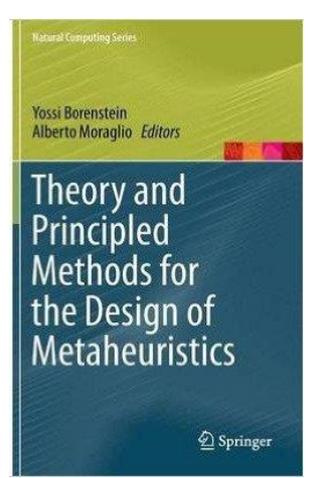
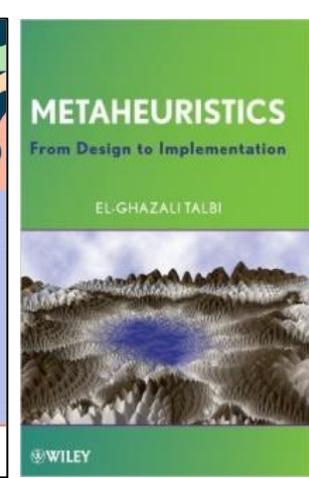
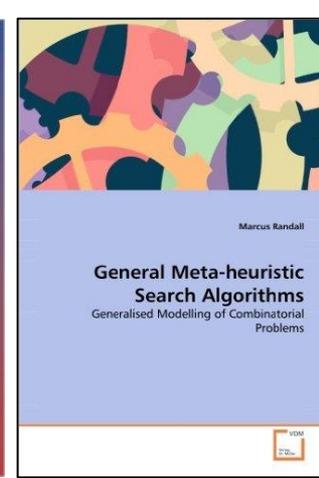
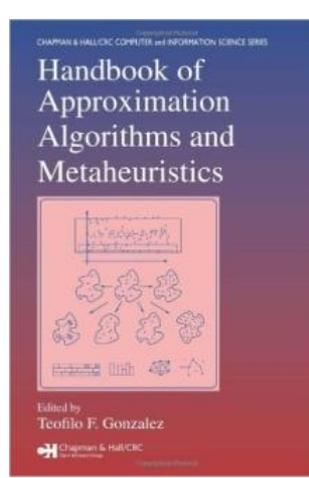
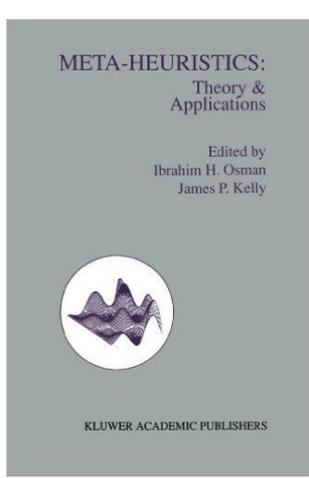
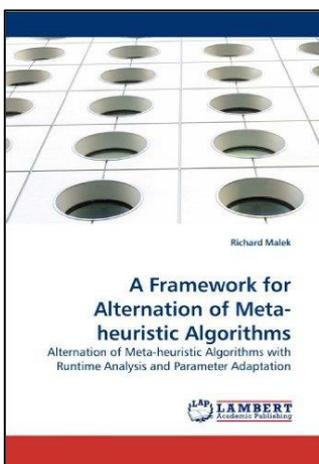
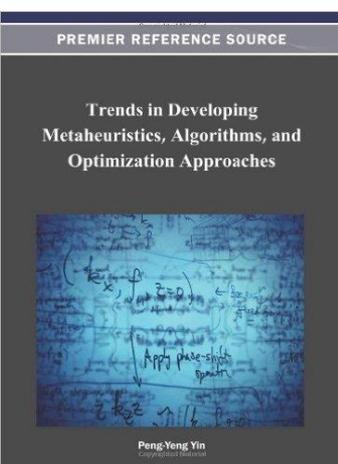
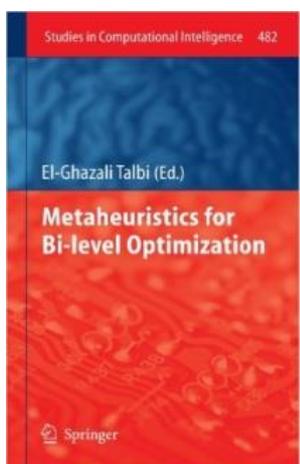
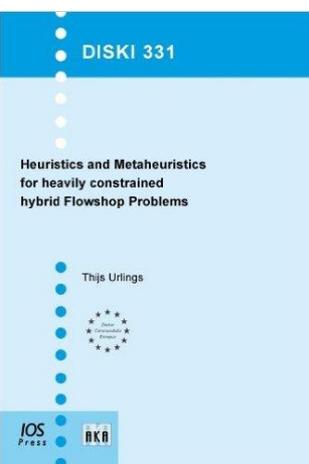
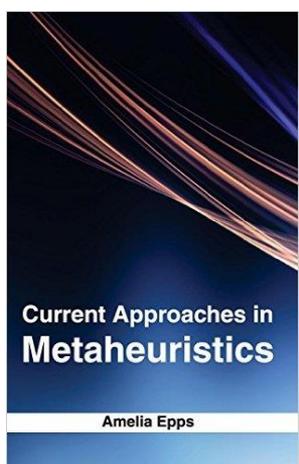
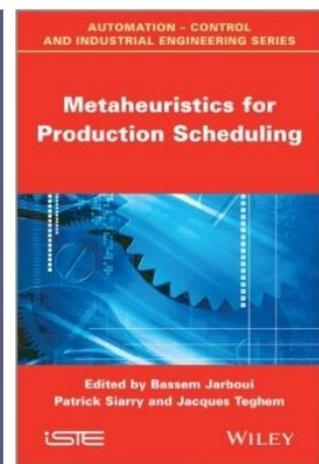
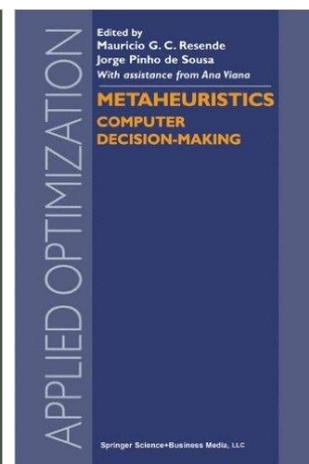
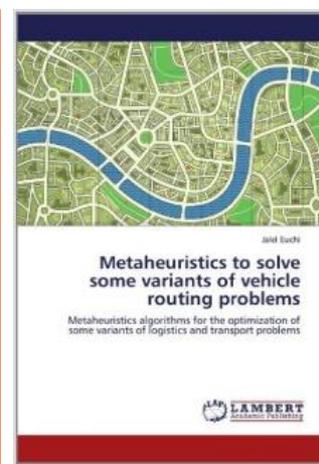
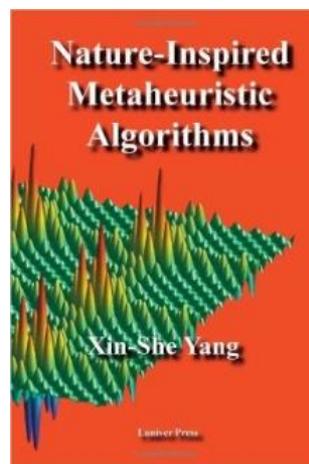
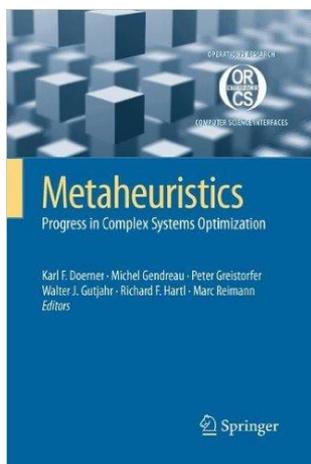
**parallel-run:**

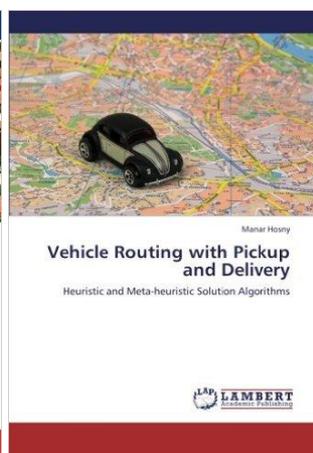
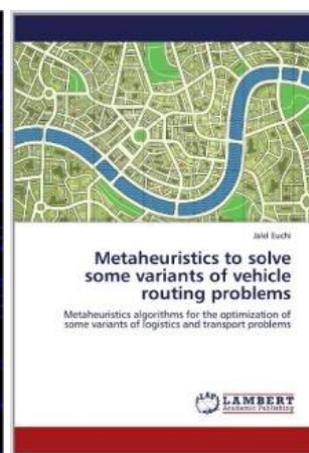
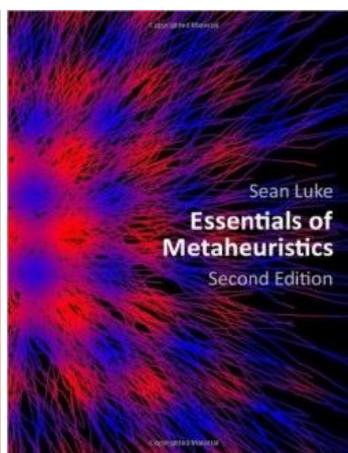
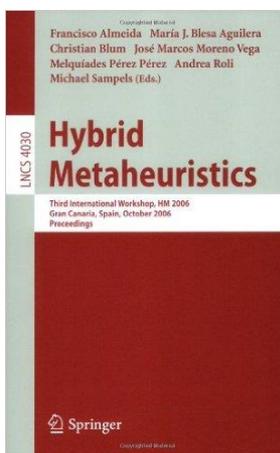
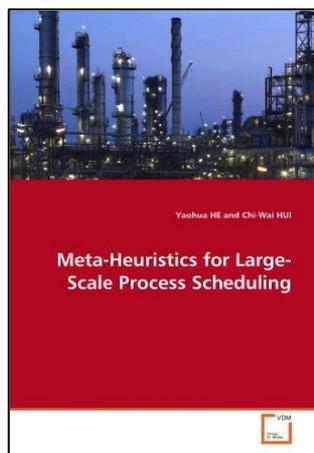
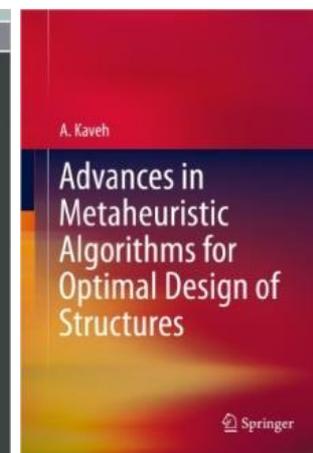
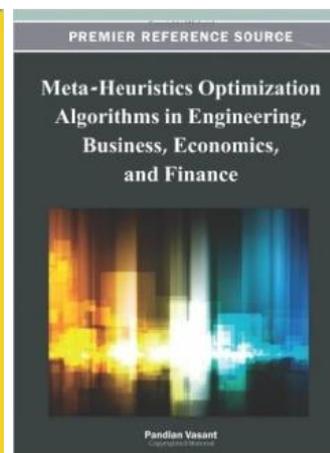
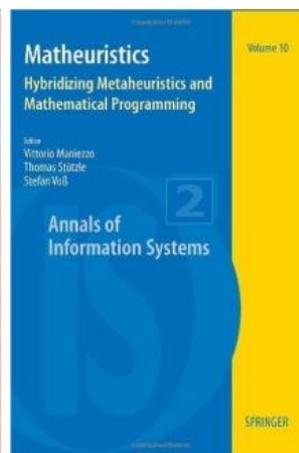
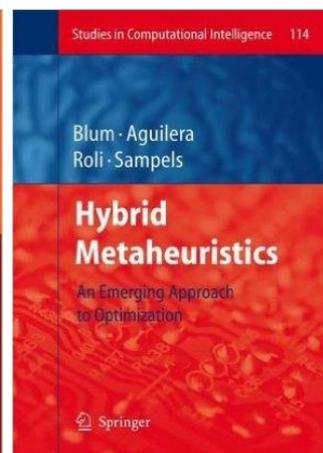
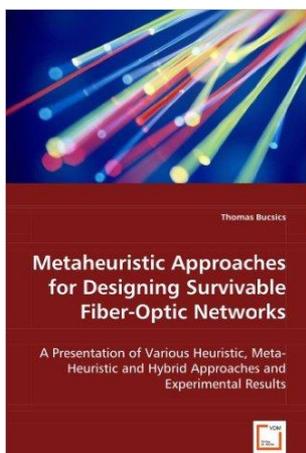
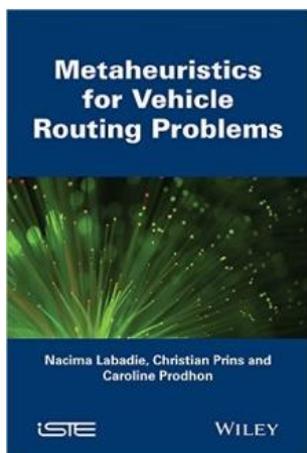
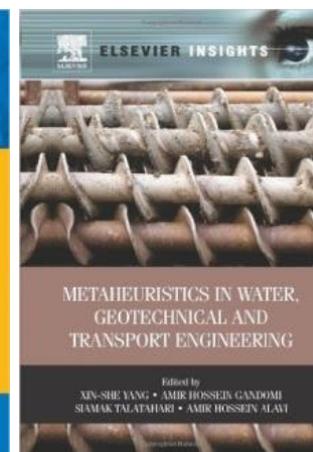
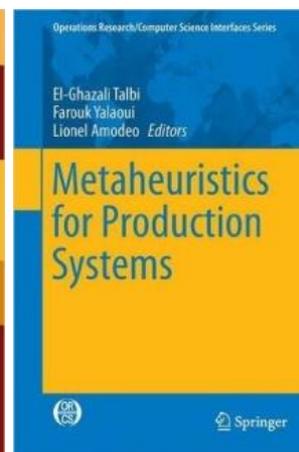
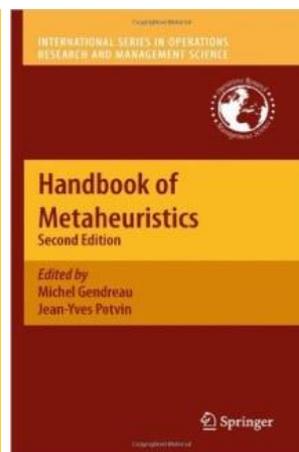
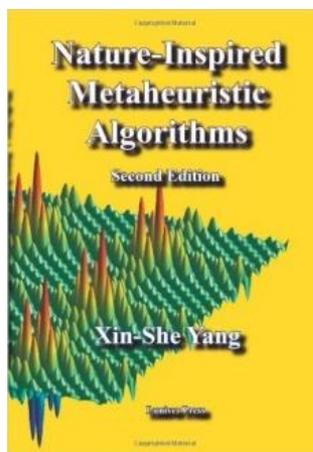
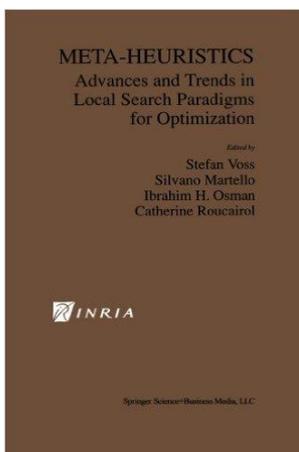
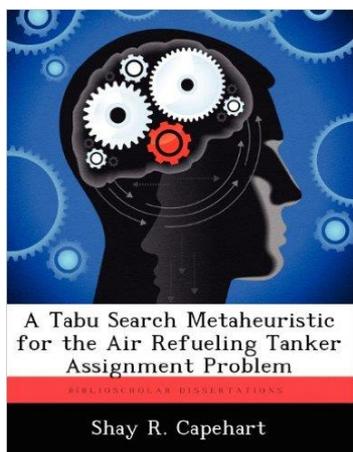
- QuickSort( $X, i, j$ )
- MergeSort( $X, i, j$ )

when either **stops**, **abort** the other

- **Ave-case** time is **Min** of both:  $O(n \log n)$
- **Worst-case** time is **Min** of both:  $O(n \log n)$
- Meta-algorithms / meta-heuristics **generalize!**



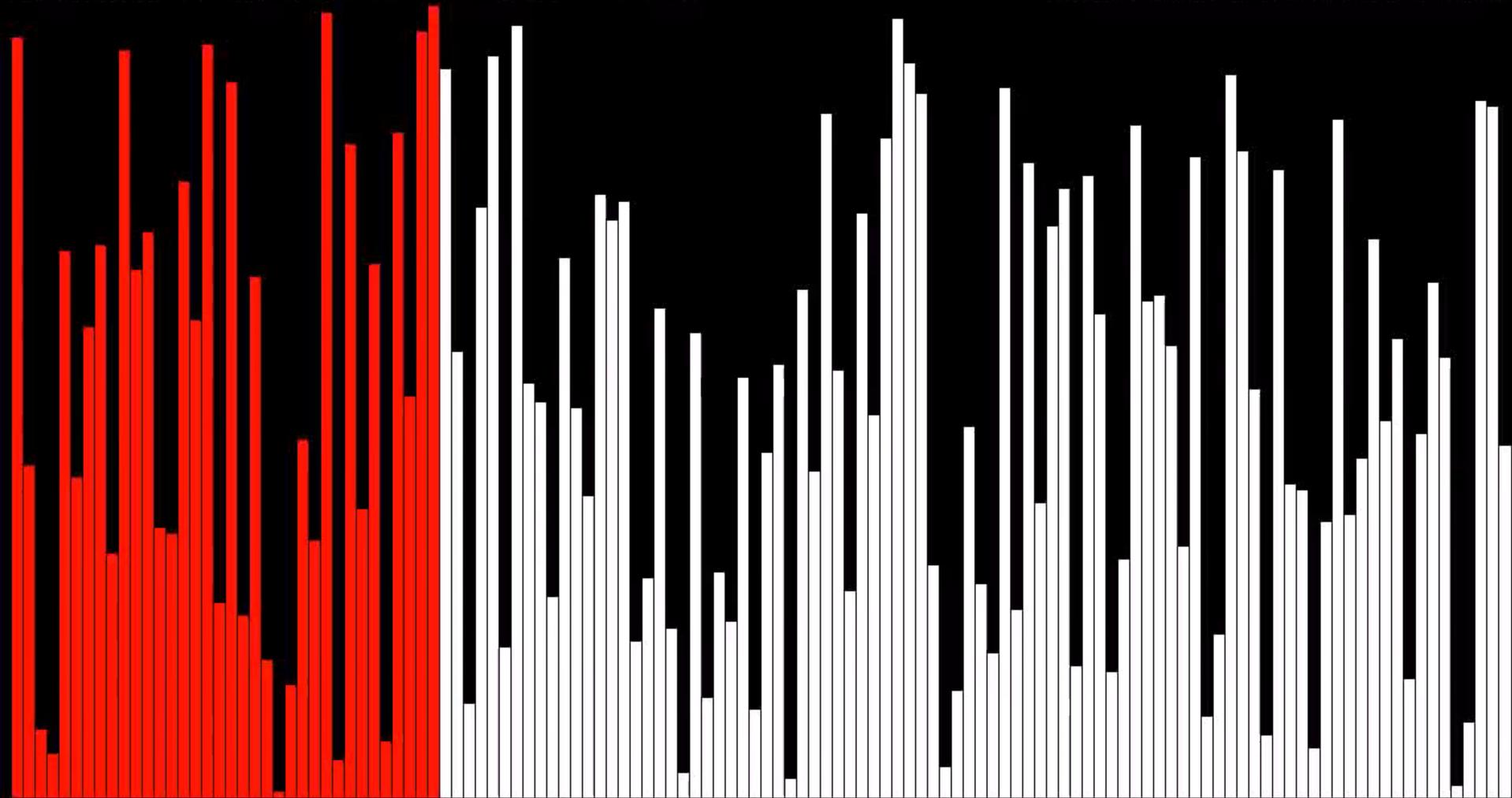




# “The Sound of Sorting” (15 algorithms)

Selection Sort - 35 comparisons, 67 array accesses, 0.50 ms delay

<http://panthema.net/2013/sound-of-sorting>



- Sound **pitch** is proportional to **value** of current sort element sorted!

<https://www.youtube.com/watch?v=kPRA0W1kECg>

**Problem:** Given  $n$  pairs of integers  $(x_i, y_i)$ , where  $0 \leq x_i \leq n$  and  $1 \leq y_i \leq n$  for  $1 \leq i \leq n$ , find an algorithm that sorts all  $n$  ratios  $x_i / y_i$  in linear time  $O(n)$ .

- What approaches fail?
- What techniques work and why?
- Lessons and generalizations

**Problem:** Given  $n$  integers, find in  $O(n)$  time the majority element (i.e., occurring  $\geq n/2$  times, if any).

- What approaches fail?
- What techniques work and why?
- Lessons and generalizations

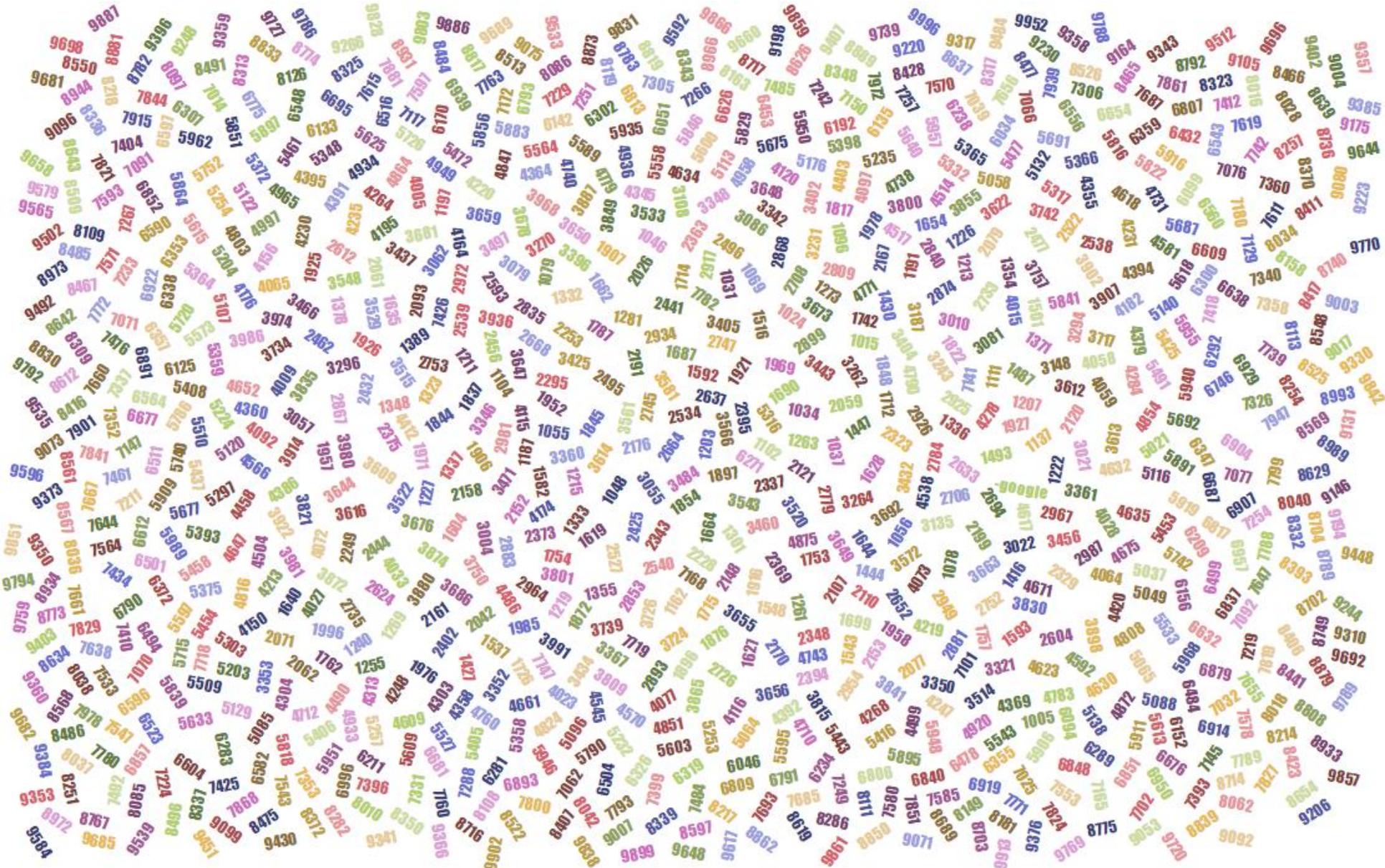
**Problem:** Given  $n$  objects, find in  $O(n)$  time the majority element (i.e., occurring  $\geq n/2$  times, if any), using only equality comparisons ( $=$ ).

- What approaches fail?
- What techniques work and why?
- Lessons and generalizations

**Problem:** Given  $n$  integers, find both the **maximum** and the **next-to-maximum** using the least number of comparisons (**exact** comparison count, not just  $O(n)$ ).

- What approaches fail?
- What techniques work and why?
- Lessons and generalizations

# Finding the Minimum



# Finding the Minimum

**Input:** array  $X[1..n]$  of integers

**Output:** minimum element

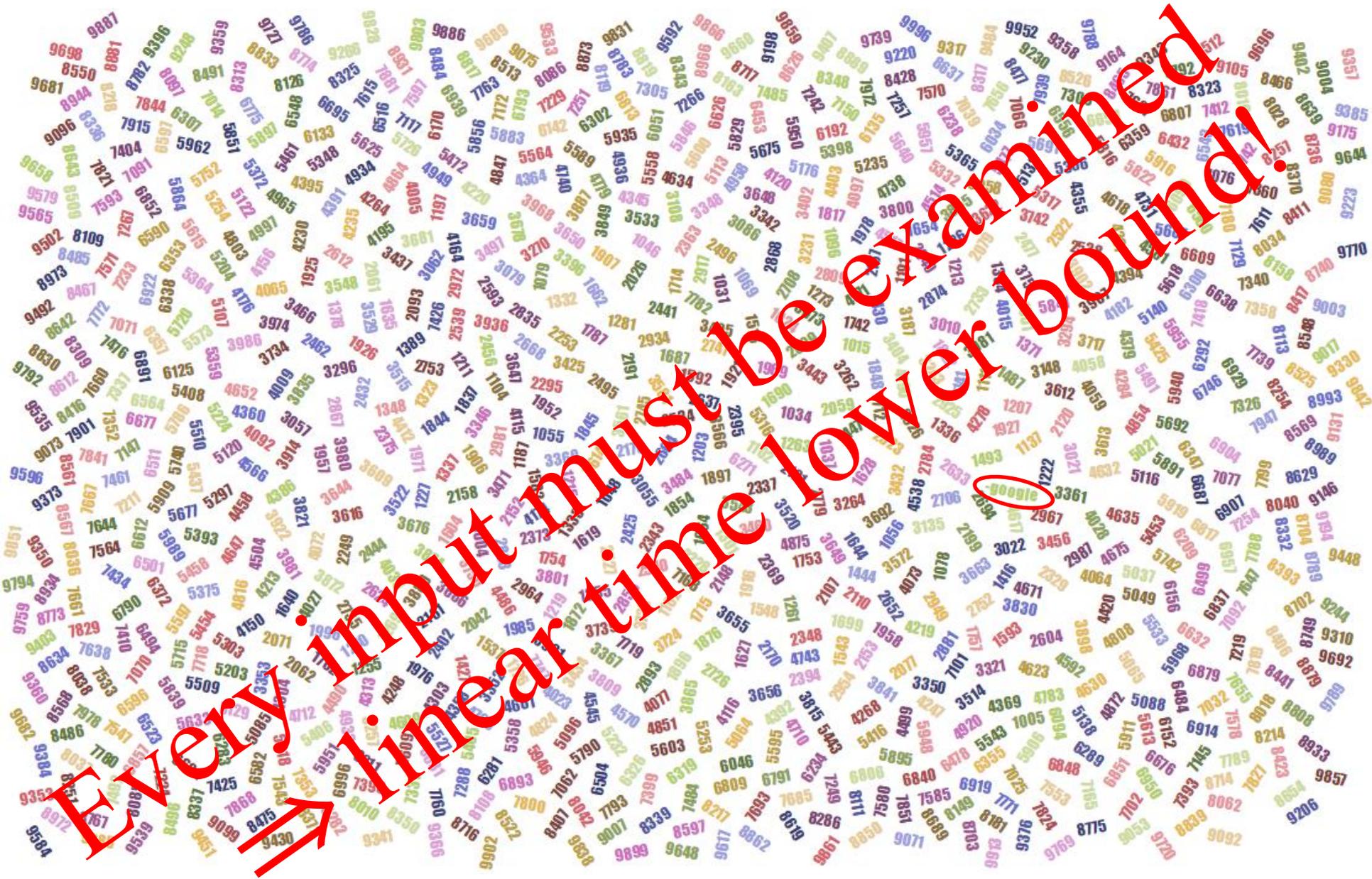
**Theorem:**  $\Omega(n)$  time is necessary to find Min.

**Proof 1:** each element must be examined at least once, otherwise we may miss the true minimum. Therefore  $\Omega(n)$  work is required.

**Proof 2:** Assume a correct min-finding algorithm didn't examine element  $X_i$  for some array  $X$ . Then the same algorithm will be wrong on  $X$  with  $X_i$  replaced with say  $-10^{100}$ .

**Non-existence argument!**  
**Proof by contradiction!**

# Finding the Minimum



# Finding the Minimum

**Input:** array  $X[1..n]$  of integers

**Output:** minimum element

**Idea:** keep track of the best-so-far

```
Min = X[1]
```

```
  for i = 2 to n
```

```
    if  $X[i] < \text{min}$  then  $\text{min} = X[i]$ 
```

- Exact comparison count:  $n-1$

**Theorem:**  $n-1$  comparisons are sufficient for finding the minimum.

**Corollary:** This  $\Theta(n)$ -time algorithm is optimal.

**Q:** What about finding the maximum?

# Finding the Minimum

Q: Can we do better than  $n-1$  comparisons?

**Theorem:**  $n/2$  comparisons are necessary for finding the **minimum**.

**Idea:** must examine all  $n$  inputs!

**Proof:** each element must participate in at least 1 comparison (otherwise we may miss e.g.  $-10^{100}$ ).

- Each comparison involves 2 elements
- At least  $n/2$  comparisons are necessary

Q: Can we improve **lower bound** up to  $n-1$ ?

**Non-existence proof!**

# Finding the Minimum

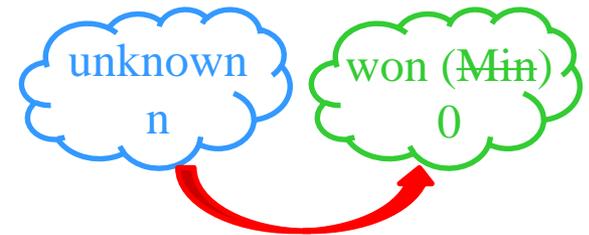
**Theorem:**  $n-1$  comparisons are **necessary** for finding the **minimum** (or **maximum**).

**Idea:** keep track of “knowledge” gained!

**Proof:** consider two classes of elements:



Initial state:



Final state:



**Every non-Min element must win at least once!**

- At each comparison, at most 1 element **moves** from “**unknown**” to “**won (Min)**”.
- At least  $n-1$  moves / **comparisons** are necessary to convert the initial state into the final state

**Corollary:** The  $(n-1)$ -comparison algorithm is optimal.

# Finding the Min and Max

**Input:** array  $X[1..n]$  of integers

**Output:** **minimum** and **maximum** elements

**Idea:** find **Min** independently from **Max**

Find**Min**( $X$ )

Find**Max**( $X$ )  $\equiv$  Find**Min**( $-X$ )

- **n-1** comparisons to find **Min**
- **n-1** comparisons to find **Max**
- Total **2n-2** comparisons needed

**Observation:** much information is discarded!

**Q:** Can we do better than **2n-2** comparisons?

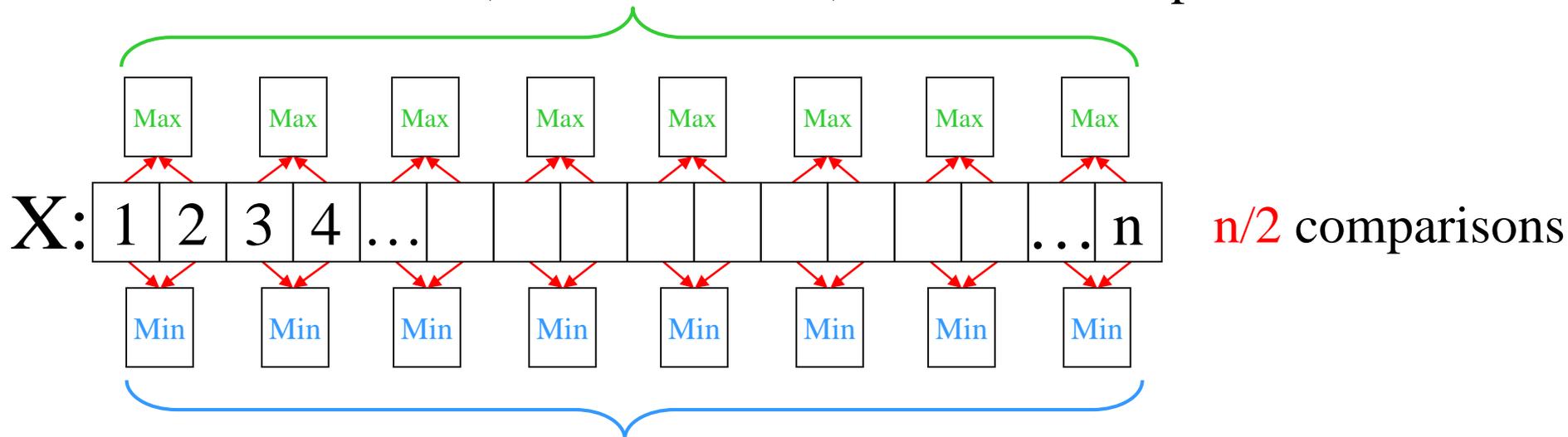
# Finding the Min and Max

**Input:** array  $X[1..n]$  of integers

**Output:** **minimum** and **maximum** elements

**Idea:** pairwise compare to reduce work

**Max** ( $n/2$  **Max** values)  $\Rightarrow n/2-1$  comparisons



**Min** ( $n/2$  **Min** values)  $\Rightarrow n/2-1$  comparisons

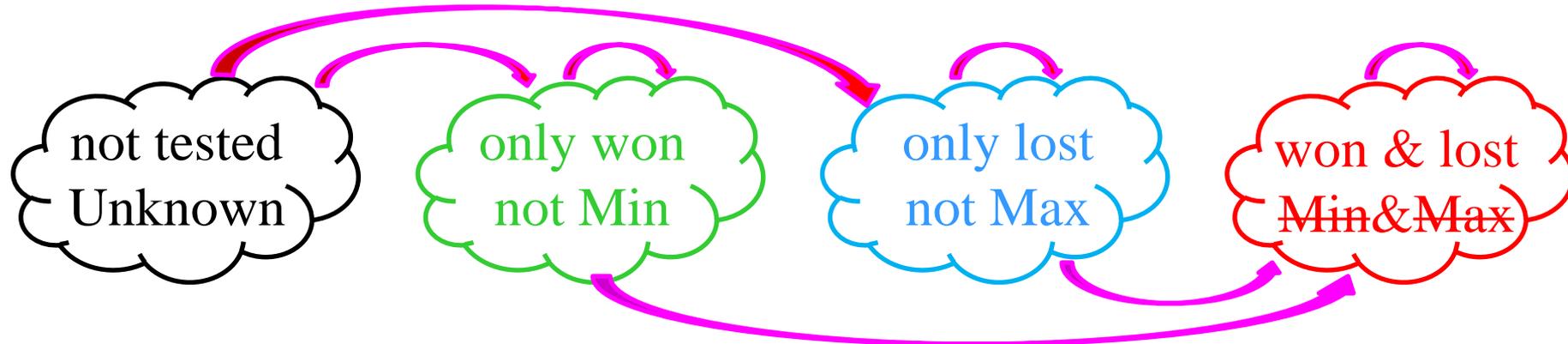
**Theorem:**  $3n/2-2$  comparisons are **sufficient** for finding the **minimum** and **maximum**.

# Finding the Min and Max

**Theorem:**  $3n/2 - 2$  comparisons are **necessary** for finding the **minimum and maximum**.

**Idea:** keep track of “knowledge” gained!

**Proof:** consider four classes of elements:



Initial state:				
Final state:				
		Max	Min	

# Finding the Min and Max

Not tested  
unknown

only Won  
not Min

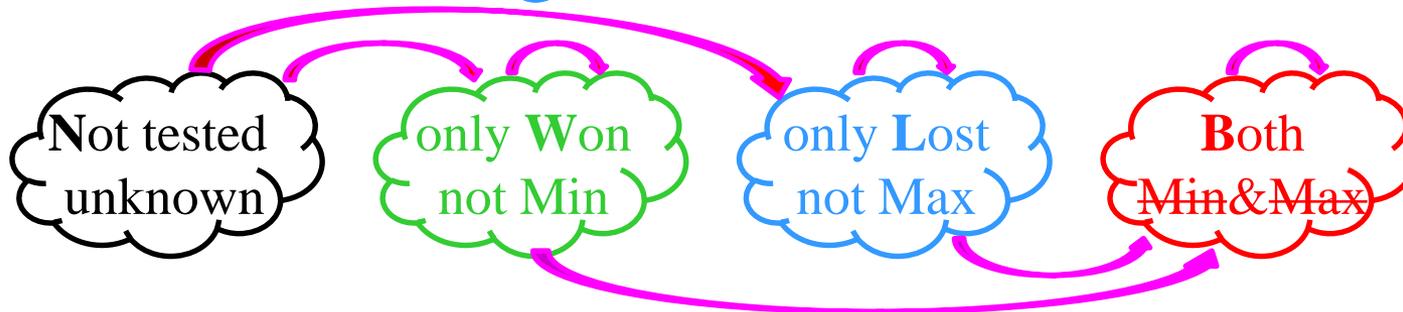
only Lost  
not Max

Both  
Min&Max

$N < N \Rightarrow L \& W$	$N > N \Rightarrow W \& L$	2
$N < W \Rightarrow L \& W$	$N > W \Rightarrow W \& B$	1
$N < L \Rightarrow L \& B$	$N > L \Rightarrow W \& L$	1
$N < B \Rightarrow L \& B$	$N > B \Rightarrow W \& B$	1
$W < W \Rightarrow B \& W$	$W > W \Rightarrow W \& B$	1
$W < L \Rightarrow B \& B$	$W > L \Rightarrow W \& L$	0
$W < B \Rightarrow B \& B$	$W > B \Rightarrow W \& B$	0
$L < L \Rightarrow L \& B$	$L > L \Rightarrow B \& L$	1
$L < B \Rightarrow L \& B$	$L > B \Rightarrow B \& B$	0
$B < B \Rightarrow B \& B$	$B > B \Rightarrow B \& B$	0

Minimum  
guaranteed  
knowledge  
gained  
i.e. “moves”  
towards  
final state

# Finding the Min and Max



- Moving from N to **B** forces passing through **W** or **L**
  - Emptying N into **W** & **L** takes  $n/2$  comparisons
  - Emptying most of **W** takes  $n/2-1$  comparisons
  - Emptying most of **L** takes  $n/2-1$  comparisons
  - Other moves will not reach the “final state” any faster
  - Total comparisons required:  $3n/2-2$
- ⇒  $3n/2-2$  comparisons are necessary for finding the minimum and maximum.

**Non-existence proof!**

**Theorem:** Our **Min&Max** algorithm is optimal.

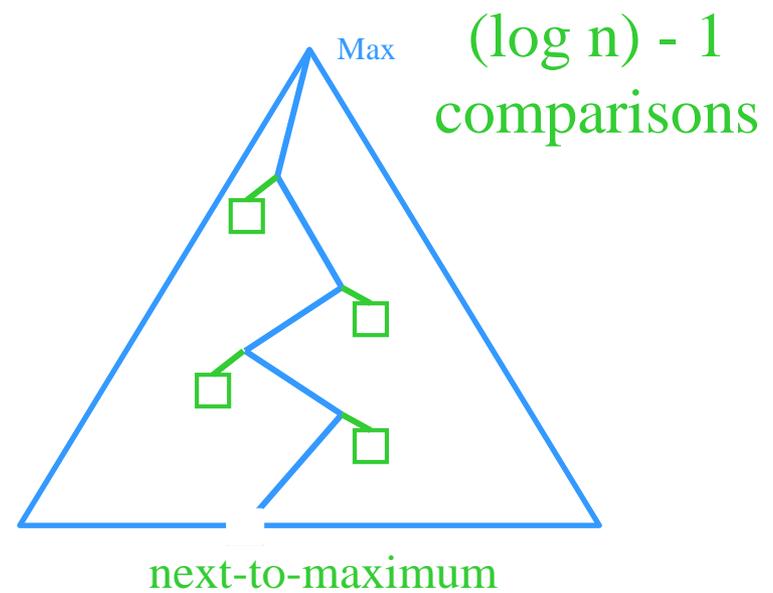
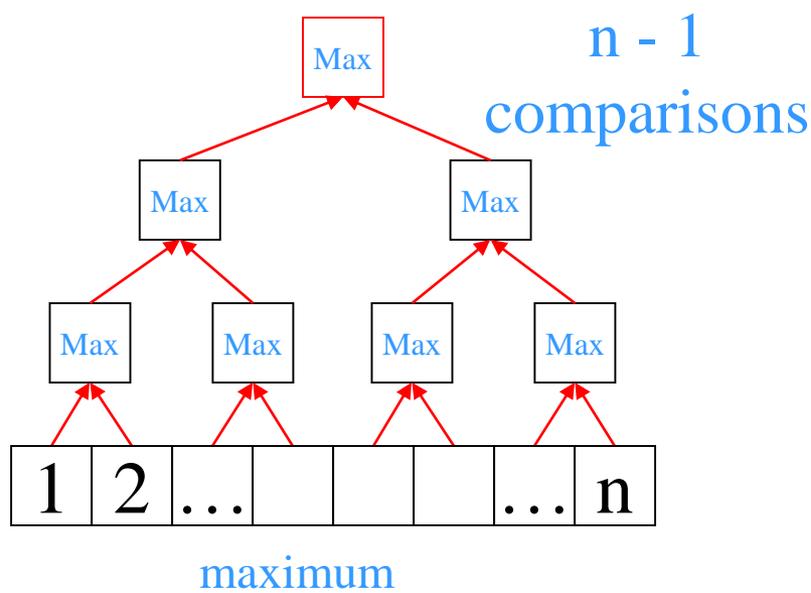
**Problem:** Given  $n$  integers, find both the **maximum** and the **next-to-maximum** using the least number of comparisons (**exact** comparison count, not just  $O(n)$ ).

- What approaches fail?
- What techniques work and why?
- Lessons and generalizations

# Finding the Max and Next-to-Max

**Theorem:**  $(n-2) + \log n$  comparisons are sufficient for finding the maximum and next-to-maximum.

**Proof:** consider elimination tournament:



**Theorem:**  $(n-2) + \log n$  comparisons are necessary for finding the maximum and next-to-maximum.

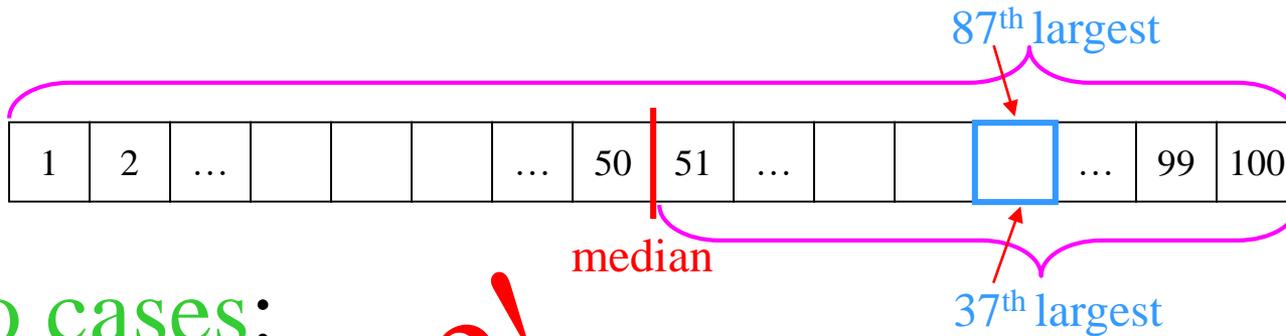
# Selection (Order Statistics)

**Input:** array  $X[1..n]$  of integers and  $i$

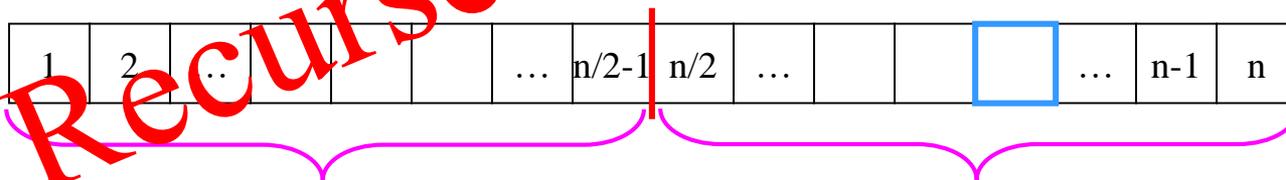
**Output:**  $i^{\text{th}}$  largest integer

**Obvious:**  $i^{\text{th}}$ -largest subroutine can find **median**  
since **median** is the special case  $(n/2)^{\text{th}}$ -largest

**Not obvious:** repeat **medians** can find  $i^{\text{th}}$  largest:



**Two cases:**



$i < n/2 \Rightarrow$  find  $i^{\text{th}}$  largest    or     $i > n/2 \Rightarrow$  find  $(i-n/2)^{\text{th}}$  largest

**Recurse!**

# Selection (Order Statistics)

Run time for  $i^{\text{th}}$  largest:  $T(n) = T(n/2) + M(n)$

where  $M(n)$  is time to find **median**

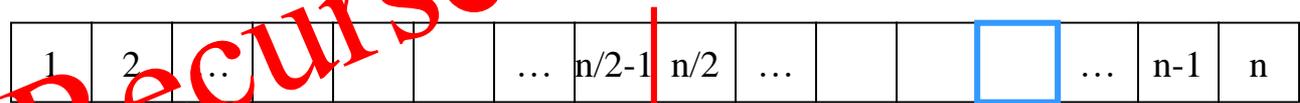
- Finding **median** in  $O(n \log n)$  time is easy (**why?**)
- Assume  $M(n) = c \cdot n = O(n)$

$$\begin{aligned} \Rightarrow T(n) &< c \cdot (n + n/2 + n/4 + n/8 + \dots) \\ &< c \cdot (2n) = O(n) \end{aligned}$$

**Conclusion:** linear-time **median** algorithm

automatically yields linear-time  $i^{\text{th}}$  selection!

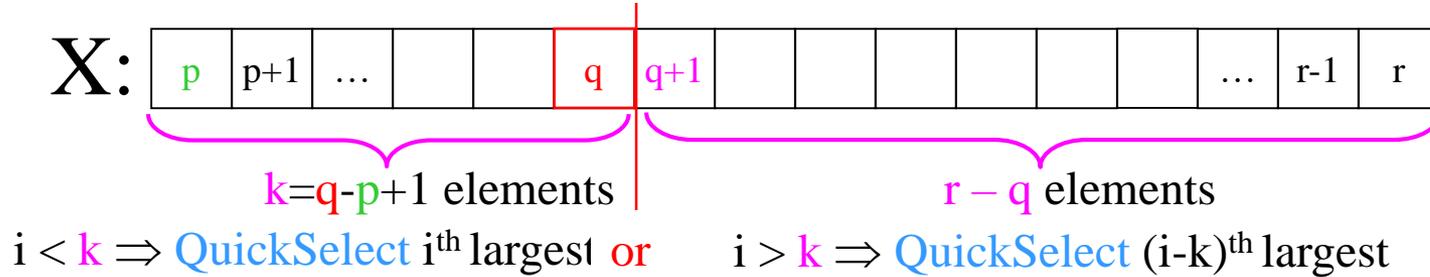
**New goal:** find the **median** in  $O(n)$  time!



$i < n/2 \Rightarrow$  find  $i^{\text{th}}$  largest    or     $i > n/2 \Rightarrow$  find  $(i-n/2)^{\text{th}}$  largest

# QuickSelect ( $i^{\text{th}}$ -Largest)

Idea: partition around pivot and recurse



**QuickSelect**( $X, p, r, i$ )

if  $p == r$  then return( $X[p]$ )

$q = \text{RandomPartition}(X, p, r)$

$k = q - p + 1$

If  $i \leq k$  then return(**QuickSelect**( $X, p, q, i$ ))

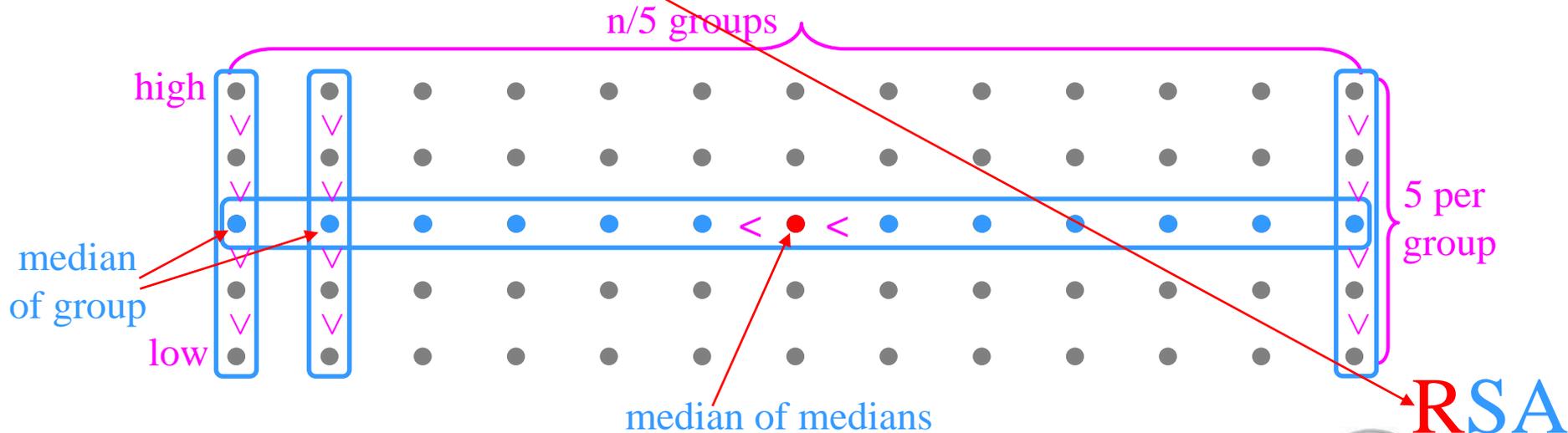
else return(**QuickSelect**( $X, q+1, r, i-k$ ))

- $O(n)$  time **average**-case (analysis like QuickSort's)
- $\Theta(n^2)$  worst-case time (very rare)

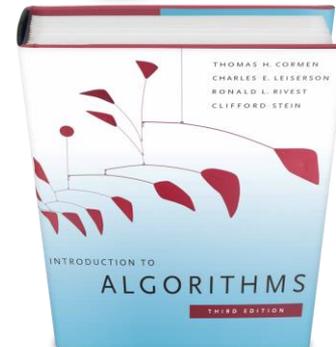
# Median in Linear Time

Idea: quickly eliminate a constant fraction & repeat

[Blum, Floyd, Pratt, Rivest, and Tarjan, 1973]



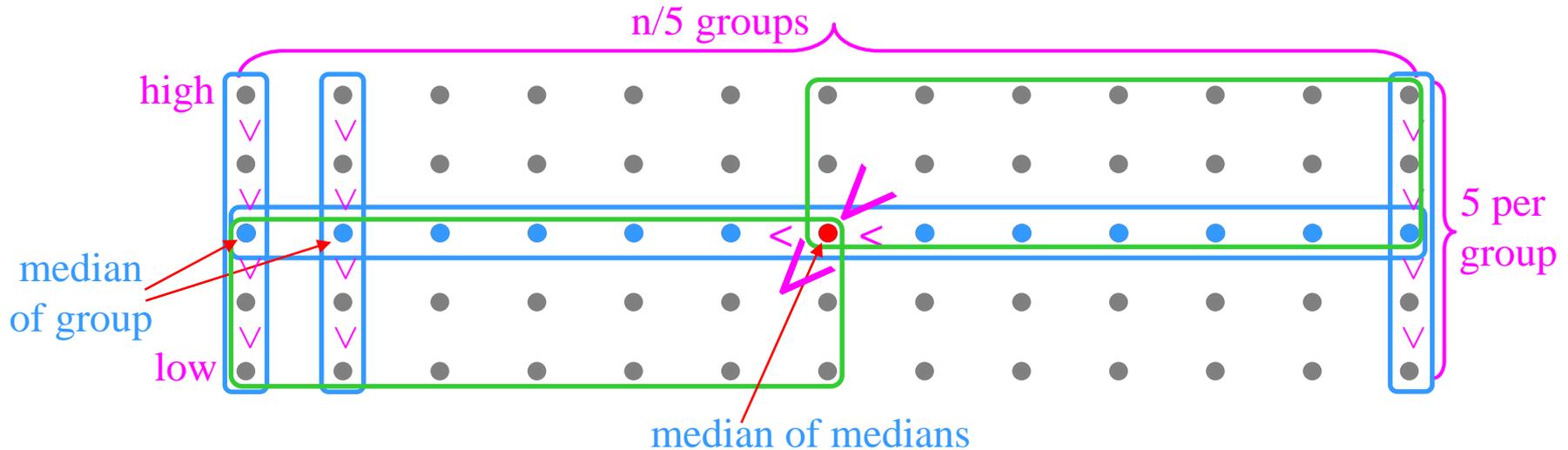
- Partition into  $n/5$  groups of 5 each
- Sort each group (high to low)
- Compute **median of medians** (recursively)
- Move columns with larger medians to right
- Move columns with smaller medians to left



# Median in Linear Time

Idea: quickly eliminate a constant fraction & repeat

[Blum, Floyd, Pratt, Rivest, and Tarjan, 1973]

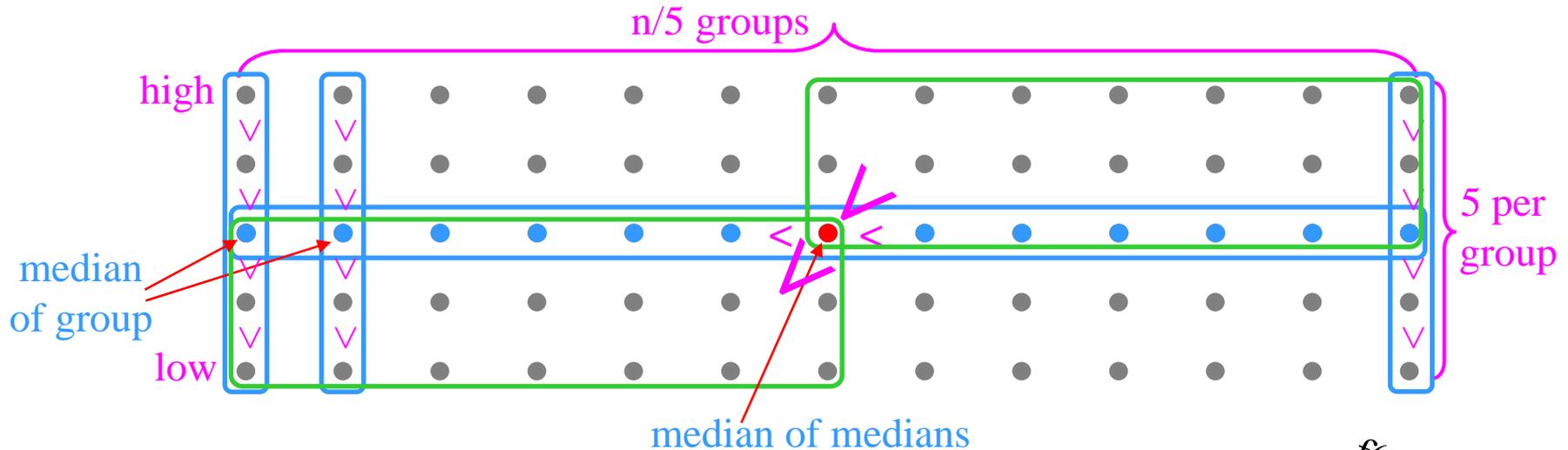


- $> 3/10$  of elements larger than **median of medians**
- $> 3/10$  of elements smaller than **median of medians**
- Partition all elements around **median of medians**
- Each partition contains **at most  $7n/10$**  elements
- **Recurse** on the proper partition (like in **QuickSelect**)

# Median in Linear Time

Idea: quickly eliminate a constant fraction & repeat

[Blum, Floyd, Pratt, Rivest, and Tarjan, 1973]



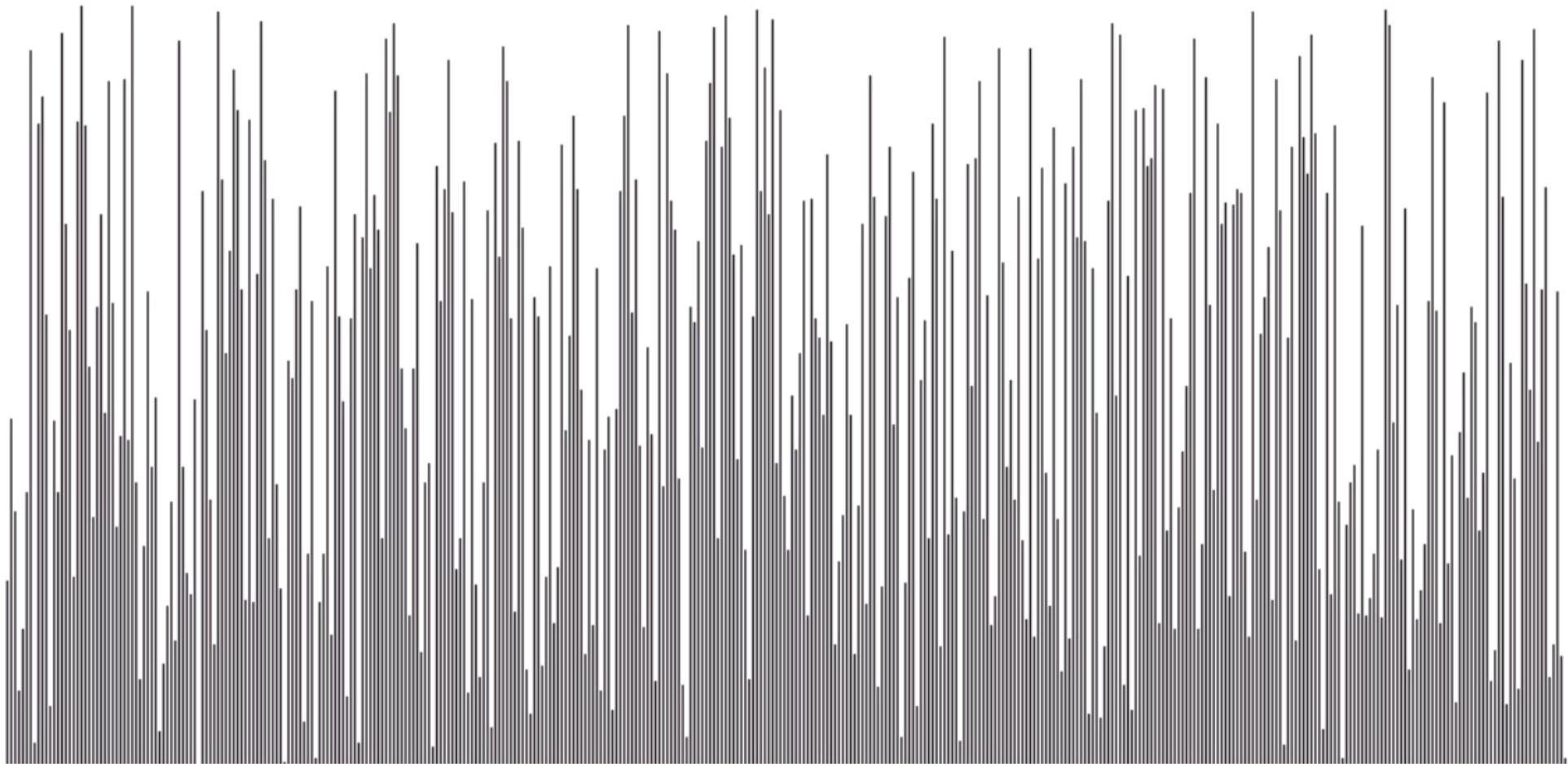
$$\begin{aligned} T(n) &= T(n/5) + T(7n/10) + O(n) \\ &= T(2n/10) + T(7n/10) + O(n) \\ &\leq T(2n/10 + 7n/10) + O(n) \text{ since } T(n) = \Omega(n) \\ &= T(9n/10) + O(n) \Rightarrow T(n) = O(n) \end{aligned}$$

$$f(n) = \Omega(n) \Rightarrow f(x+y) \geq f(x) + f(y)$$

Large constant Overhead!

- **Median** is found in  $\Theta(n)$  time worst-case!

# Median in Linear Time



Exact upper bounds:  $< 24n, 5.4n, 3n, 2.95n, \dots + o(n)$

Exact lower bounds:  $> 1.5n, 1.75n, 1.8n, 1.837n, 2n, \dots + O(1)$

Closing this comparisons gap further is still an open problem!